



هيئة الإمارات لسباق الخيل  
EMIRATES RACING AUTHORITY

# THE RULES OF RACING AND INSTRUCTIONS OF EMIRATES RACING AUTHORITY

REVISED. APPROVED AND REPRINTED SEPTEMBER 2024

# ERA

هيئة الإمارات لسباق الخيل  
EMIRATES RACING AUTHORITY

## BOARD MEMBERS

|                                             |               |
|---------------------------------------------|---------------|
| H H SHEIKH MANSOOR BIN ZAYED AL NAHYAN      | CHAIRMAN      |
| H E SHEIKH RASHID BIN DALMOUK AL MAKTOUM    | VICE-CHAIRMAN |
| H E MATAR SUHAIL ALI AL YABHOUNI AL DHAHERI | MEMBER        |
| H E MOHAMMAD SAEED RASHID ALI AL SHEHHI     | MEMBER        |

THE EMIRATES RACING AUTHORITY IS A BODY CORPORATE FORMED BY EMIRI DECREE

EMIRATES RACING AUTHORITY

PO BOX 9452 | NAD AL SHEBA | DUBAI, U.A.E

# INDEX TO RULES AND INSTRUCTIONS

## **A**

ABANDONMENT OF RACE.....3(i), 8(iv)  
ADVERTISING ON RIDER EQUIPMENT...INS19  
ALLOWANCES.....34, 39  
AMATEUR RIDERS.....35  
APPEALS.....83-85  
    NOTICE OF APPEALS.....84(v)  
APPRENTICES.....33A, 33B  
APPRENTICE ALLOWANCES.....34  
ASSISTANT TRAINER.....30(v)  
AUTHORISED AGENT.....24(iii)

## **B**

BALLOTING OUT HORSES.....INS1  
BANDAGES.....INS2  
BARRIER DRAW.....52  
BLEEDERS.....21B  
BLINKERS.....51(vii), 63(ii)

## **C**

CARELESS RIDING.....69(i)  
CHANGE OF SURFACE.....8(iv)  
CHEEK PIECES.....51(vii), 58(i), 63(ii)  
CLAIMING RACES.....INS16  
CLERK OF THE SCALES.....17  
COLOURS.....28, 56(v)  
COMPOUND FEEDS.....INS10  
CONTAGIOUS DISEASES.....INS17  
CORRUPT PRACTICES.....11(a), 96(v)

## **D**

DANGEROUS RIDING.....69(i)  
DEAD-HEAT.....73-76  
DEATH OF AN OWNER.....29  
DECLARATION OF RUNNERS.....51  
    ABANDON RACE.....8(iv), 51(ix)  
    CLOSE OF DECLARATION.....51(i)  
    COLOURS.....51(v)  
    FAILURE TO STATE PREFERENCE...51(iv)  
    GEAR/EQUIPMENT.....51(vii)  
    PREFERENCE/S.....51(iii)  
    REOPENED DECLARATION.....51(viii)  
    REFUSE DECLARATION.....51(x)  
    RIDERS.....51(vi)  
DISPUTES.....77-82  
DISQUALIFICATION OF HORSES.....86-89  
DISQUALIFICATION OF PERSONS.....96-99  
DISTRIBUTION OF PRIZES.....90-94

## **E**

EAR PLUGS.....51(vii), 58(i), 63(ii)  
ENTRANCE MONEY.....53  
ENTRIES.....44-47  
    CLOSE OF ENTRIES.....44(i)  
    FORM OF ENTRY.....45-47  
    NOT ELIGIBLE.....44(iii)  
    REOPENED ENTRIES.....44(iv)  
EQUIPMENT – RACING.....61-63  
ERA POWERS.....3  
EUTHANASIA OF HORSE.....102

## **F**

FAILURE TO RIDE MOUNT TO LINE.....69(iii)  
FORFEIT LIST.....54, 55  
FOUL RIDING.....69(i)

## **G**

GEAR.....51(vii), 58, 61, 63

## **H**

HANDICAPPER.....18  
HOOD.....51(vi), 58(i), 63(ii)  
HORSE  
    AGE.....21, 21C  
    BLEEDERS.....21B  
    CARDIAC ARRHYTHMIAS.....INS21  
    CONSECUTIVE DAYS.....21D  
    EUTHANASIA OF.....102  
    DISQUALIFICATION OF.....86-89  
    FRACTIOUS.....20A  
    NOT QUALIFIED.....87  
    PATERNITY TESTING – PA.....23  
    REGISTRATION OF NAMES.....22, 23  
    REQUIRED ON-COURSE.....68(i)  
    TREATMENT RACEDAY.....95AAA 9  
    THERMOCAUTERY.....21E(6)  
    VERICULATION.....21E(7)

## **I**

ICE TREATMENTS.....INS22  
IMPROPER RIDING.....69(i)  
INCOMPETENT RIDING.....69(ii)  
INSTRUCTIONS.....INS1-22

## **J**

|                                        |       |
|----------------------------------------|-------|
| JOCKEYS (refer "RIDERS & APPRENTICES") |       |
| JOCKEYS FEES.....                      | 36    |
| JOCKEYS INSURANCE.....                 | INS20 |
| JUDGE.....                             | 19    |

## **L**

|                                   |    |
|-----------------------------------|----|
| LEASING.....                      | 27 |
| LIABILITY FOR ENTRANCE MONEY..... | 53 |
| LICENCE APPLICATION.....          | 6  |
| LICENCING COMMITTEE.....          | 10 |

## **M**

|                               |     |
|-------------------------------|-----|
| MEDICAL RECORDS (EQUINE)..... | 31B |
| MISCELLANEOUS.....            | 100 |

## **N**

|                               |     |
|-------------------------------|-----|
| NEURECTOMY OPERATION.....     | 21E |
| NOMINATIONS (refer "ENTRIES") |     |
| NON-RUNNERS.....              | 60  |

## **O**

|                   |            |
|-------------------|------------|
| OBJECTIONS.....   | 70, 77-82A |
| OFFENCES.....     | 11, 96-99  |
| OFFICIALS.....    | 12-20      |
| OWNERS.....       | 24-27      |
| AGE.....          | 24(iv)     |
| COMPANIES.....    | 25B        |
| DEATH.....        | 29         |
| INDIVIDUALS.....  | 24         |
| LEASING.....      | 27B        |
| PARTNERSHIPS..... | 26A        |
| SYNDICATES.....   | 25A        |

## **P**

|                                    |                       |
|------------------------------------|-----------------------|
| PACIFIERS.....                     | 51(vi), 58(i), 63(ii) |
| PARTNERSHIPS.....                  | 26A                   |
| PASSPORTS.....                     | INS18                 |
| PECUNIARY INTEREST.....            | 15                    |
| PENALTY                            |                       |
| DEFERRAL OF.....                   | 99                    |
| GENERAL.....                       | 3A                    |
| HORSE.....                         | 40-43                 |
| RECIPROICATION OF.....             | 3(xv), (xvi)          |
| POSTPONEMENT OF RACE.....          | 8(iv)                 |
| PREPARATIONS APPLIED TO THE SKIN.. | INS11                 |
| PRIZE MONEY.....                   | 90-94                 |
| PROGRAMME.....                     | 3(i), 4               |
| PROHIBITED SUBSTANCES.....         | 95A-95D               |
| THRESHOLDS.....                    | 95AA                  |

|                                 |       |
|---------------------------------|-------|
| REQUEST FOR DRUG TEST.....      | INS14 |
| SAMPLES.....                    | 95D   |
| SAMPLING UNIT.....              | INS13 |
| ADMINISTRATION.....             | 95AAA |
| ELECTIVE TESTING.....           | INS15 |
| OUT OF COMPETITION TESTING..... | 95B   |
| PULLING UP.....                 | INS3  |

## **Q**

|                 |      |
|-----------------|------|
| QUARANTINE..... | INS9 |
|-----------------|------|

## **R**

|                                             |                           |
|---------------------------------------------|---------------------------|
| RACE COURSE MANAGER.....                    | 12(ii)                    |
| RECIPROICATION OF PENALTIES...3(xv), 3(xvi) |                           |
| RECKLESS RIDING.....                        | 69(i)                     |
| RECOGNISED AUTHORITIES.....                 | INS7                      |
| RESERVES.....                               | INS1A                     |
| RIDERS.....                                 | 32                        |
| AGENTS.....                                 | INS4                      |
| AMATUER RIDERS.....                         | 35                        |
| APPRENTICES.....                            | 33A-34                    |
| ARRIVAL TIME.....                           | 56(iii)                   |
| CELEBRATORY GESTURE.....                    | 69(iv)                    |
| CLEARANCE.....                              | 32(ii)(b)                 |
| DUAL ENGAGEMENT.....                        | 57(v)                     |
| FAILS TO FULFIL ENGAGEMENT..                | 32A(v)                    |
| FAILS TO RIDE TO FINISH.....                | 69(iii)                   |
| INSURANCE SCHEME.....                       | INS20                     |
| INTEREST IN RACING.....                     | 32A(iv)                   |
| LICENCE.....                                | 32(ii)                    |
| MEDICAL.....                                | 32A(iii)                  |
| MOBILE PHONE.....                           | 32A(vi)                   |
| NAMING OF.....                              | 51                        |
| OVERWEIGHT.....                             | 57(iv)                    |
| PERMISSION TO LEAVE.....                    | 32A(vii)                  |
| PROVISIONAL LICENCE.....                    | 32(iv)                    |
| REPLACEMENT.....                            | 56(iv)                    |
| RETAINED RIDER/S.....                       | 32B                       |
| SAFETY VEST.....                            | 58(ii), 62(vi)(vii)(viii) |
| SPURS.....                                  | 32A(i)                    |
| SUSPENSION.....                             | 99                        |
| USE OF WHIP.....                            | 69B                       |
| RUNNING.....                                | 67-72A                    |
| ARRIVAL TIME.....                           | 68(i)                     |

## **S**

|                             |                              |
|-----------------------------|------------------------------|
| SADDLING.....               | INS5                         |
| SAFETY HELMET.....          | 62(i)(ii)(iii)(iv)           |
| SAFETY VESTS.....           | 58(ii), 62(vi)(vii)(viii)(x) |
| SAFETY VEST (MODIFIED)..... | 62(viii)                     |
| SALE WITH ENGAGEMENTS.....  | 49, 50                       |
| SAMPLES.....                | 95D                          |

|                                 |                         |
|---------------------------------|-------------------------|
| SAMPLING UNITS.....             | INS13                   |
| SECURITY OF RACEHORSES.....     | INS12                   |
| SERVICE OF NOTICE.....          | INS23                   |
| SHADOW ROLL.....                | 51(vi), 58(i), 63(ii)   |
| SHOCKWAVE THERAPY.....          | 21E                     |
| SHOEING.....                    | 63A                     |
| SIZE OF FIELDS.....             | INS1                    |
| SMOKING.....                    | INS6                    |
| STARTER AND STARTING.....       | 20                      |
| STEWARDS.....                   | 7, 8, 9                 |
| ENTER PREMISES.....             | 8A                      |
| INQUIRE.....                    | 9                       |
| POWER OF.....                   | 8                       |
| STRIKING OUT OF ENGAGEMENT..... | 48                      |
| SUBSTITUTE RACES.....           | 44(vii), 51(viii)(b)(i) |
| SUSPENSION OF RIDER.....        | 99                      |
| SYNDICATES.....                 | 25A                     |

## **T**

|                               |                       |
|-------------------------------|-----------------------|
| THE EMIRATES RACING AUTHORITY |                       |
| POWERS OF.....                | 3                     |
| TONGUE TIES.....              | 51(vi), 58(i), 63(ii) |
| TRACHEOTOMY.....              | 88                    |
| TRAINERS.....                 | 30, 31                |
| ASSISTANT TRAINER.....        | 30(v)                 |
| LICENCED STAFF.....           | 31(i)(f)              |
| LICENCING.....                | 6, 10                 |
| MEDICAL RECORDS (EQUINE)..... | 31B                   |
| NEW TRAINERS.....             | 30                    |
| PARTNERSHIPS.....             | 30A                   |
| PRESENTING HORSE.....         | 67A                   |
| RESPONSIBILITY OF STABLE..... | 31(i)(b)              |
| STABLE RETURN.....            | 31(i)(e)              |
| TRIALS.....                   | 20F                   |

## **V**

|                         |                       |
|-------------------------|-----------------------|
| VACCINATION.....        | INS8                  |
| VETERINARY OFFICER..... | 16                    |
| VETERINARY RACEDAY..... | 16B                   |
| VISITING TRAINERS.....  | 30(iv)                |
| VISOR.....              | 51(vi), 58(i), 63(ii) |
| VOID RACES.....         | 71                    |

## **W**

|                           |               |
|---------------------------|---------------|
| WALKING OVER.....         | 72B           |
| WEIGHING ROOM.....        | 56            |
| WEIGHING IN.....          | 64-66         |
| WEIGHING OUT.....         | 57-59         |
| WEIGHT FOR AGE SCALE..... | INS24         |
| WHIPS.....                | 69A, 69B      |
| WITHDRAWN HORSE.....      | 8(x)(xii), 60 |
| WRONG WEIGHTS.....        | 71(i)         |

# THE EMIRATES RACING AUTHORITY RULES OF RACING AND INSTRUCTIONS

These Rules apply to all meetings held under the sanction of the ERA and to all Races run at such meetings. Any Person who takes part in any matter coming within these Rules thereby agrees to be bound by them.

These Rules are produced in both Arabic and English text. In the event of a dispute, any ruling will be based on interpretation of the English text which will be final.

Words importing the singular number shall include the plural number and vice versa. Words including the male gender shall also include the female gender and vice versa.

## DEFINITIONS

In these Rules, the following words and phrases shall, unless the context otherwise requires, have the following meanings:

### A

**“ACCREDITED RACING LABORATORY”** means an analytical racing laboratory that is accredited by the National Association of Testing Authorities (NATA) or by a similar authority in an overseas country and is approved by the ERA. NATA is the authority responsible for the accreditation of laboratories, inspection bodies, calibration services, producers of certified reference materials and proficiency Testing scheme providers throughout Australia and Internationally.

**“ACTING STEWARD”** means a Person or Persons officiating as a Steward at a Race meeting.

**“AMATEUR RIDER”** means a Person Licenced by the ERA to ride as an amateur.

**“ANNOUNCEMENT DATE”** means the date on which a Decision regarding a Penalty is formally issued or declared.

**“APPEAL”** means an Appeal under Rule 84.

**“APPEAL PANEL”** means the Appeal Panel particularised in Rule 83.

**“APPRENTICE”** means an Apprentice Jockey Licenced by the ERA.

**“ASSESSMENT”** means a rating which can be used by the ERA for balloting purposes for horses which do not possess an official rating.

**“AUTHORISED AGENT”** means a Person who has been appointed by an Owner of a Horse to act on its behalf and is Licenced by the ERA to act in that capacity.

## B

**"BLINKERS"** means a garment fitted over a Horse's head with holes for eyes and ears, one or both the eyeholes being fitted with cowls (which may be extended) cutting out all vision to the rear, but permitting full forward vision.

**"BENCHMARK"** means the official rating in a benchmark handicap from which the weights are calculated.

## C

**"CHAIRMAN OF THE MEETING"** means the Steward appointed by the ERA to oversee a Race meeting in relation to the powers conferred on the Stewards under the Rules.

**"CHEEK PIECES"** means two lamb's wool (or synthetic) rolls which attach to the cheek straps of a bridle and preclude a rearward view.

**"CLEAR DAY"** means a 24-hour period from 12.01 am to 12 midnight and which excludes both the day of treatment or Medication of a Horse and the day that it next Races.

**"CLERK OF THE SCALES"** means a Person appointed by the ERA to be a Clerk of the Scales for Race meetings.

**"COMMENCEMENT DATE"** means the date on which a Penalty begins to take effect as determined by the Decision.

**"CONDITIONS BOOK"** an Official publication of the ERA regarding programmed Race dates, Races, conditions and safety field limits in the U.A.E. Such Conditions Book is updated yearly or as required.

**"CORRECT WEIGHT"** means the declared weight, including any overweight, at which a Rider is 'weighed out' by the Clerk of the Scales under the provisions of Rule 58.

**"COURSE"** includes any Race Course, Training ground or land in respect of which the ERA or any Race Club has the control or management for racing or Training purposes.

**"CRUELTY"** means the mistreatment of a Horse by any act or omission.

## D

**"DAY"** means 24 hours finishing at midnight, on the day of the start of a meeting.

**"DECISION"** means a Decision of the ERA, including its Stewards and or Appeal Panel, relating to these Rules, including the imposition of a Penalty.

**"DECLARATION"** means the acceptance by the Owner or Authorised Agent to run in a specific Race.

**"DISQUALIFICATION"** means a Disqualification made by the ERA of a Horse or a Licenced Person from a Race and or for a period of time, and includes the ERA's adoption or

confirmation of a Disqualification made by a Recognised Turf Authority and "Disqualify" has a corresponding meaning.

**"DISQUALIFIED PERSON"** means any Person subject to a Disqualification.

## E

**"EAR PLUGS"** means a piece of equipment that is placed into the ears of the Horse with the intention to reduce noise heard by the Horse.

**"EMIRATES ARABIAN HORSE SOCIETY"** means the body recognised by the ERA to register Purebred Arabian Horses.

**"EMIRATES RACING AUTHORITY OR THE ERA"** means the Emirates Racing Authority, a body corporate formed by Emiri Decree.

**"ENTRY"** means the Nomination of a Horse for a Race.

**"ERA JOCKEYS' ACCIDENT INSURANCE SCHEME"** means a scheme that provides insurance cover as more particularised in Instruction 20.

**"EMIRATES THOROUGHBRED STUD BOOK"** means the registration department of the ERA responsible for the registration of thoroughbred Horses.

## F

**"FORFEIT LIST"** means a record of arrears published under the authority of the ERA.

## G

**"GROUP AND LISTED RACES - UAE"** means those Races in the UAE, details of which are published by the ERA, and described as such.

**"GROUP AND LISTED RACES – Non UAE"** means those Races outside the UAE, details of which are published by the International Cataloguing Standards Committee.

## H

**"HANDICAP"** means a Race in which the weights to be carried by the Horse is adjusted by the Handicapper for the purpose of equalising its chance of winning.

**"HANDICAPPER"** means a Person appointed by the ERA to be a handicapper for Race meetings.

**"HIS"** means both male and female.

**"HOOD"** means a garment similar to Blinkers incorporating ear covers but without eye cowls.

**“HORSE”** means a colt, gelding, mare, filly or pony.

## I

**“INSTRUCTIONS”** means those Instructions contained in Part 2 hereof as may be amended by the ERA from time to time. Such Instructions form part of the ERA Rules of Racing.

## J

**“JOCKEY”** means a Person Licenced by the ERA to ride for hire and includes an Apprentice.

**“JUDGE”** means a Judge duly appointed by the ERA and shall include Assistant Judge or Judges.

## L

**“LEASE”** includes any agreement whereby the Owner of a Horse permits another Person to Race the Horse.

**“LICENCE”** or **“LICENCED”** means any approval, consent, permission, or permit to act in an Official capacity, train or ride, granted by the ERA.

**“LICENCING COMMITTEE”** means that committee appointed by the ERA pursuant to Rule 10.

## M

**“MAIDEN”** means a Horse which has never won a Race other than a match or private sweepstake at any recognised meeting in any country. In order to qualify as a Maiden, these conditions must be fulfilled at the time of starting.

**“MATCH”** means a Race between Horses which are the property of two different Owners on terms agreed by them and to which no money or other prize is added.

**“MEDICATION”** means any treatment with drugs, Prohibited Substance or other substances.

**“MONTH”** means a gregorian calendar month.

## N

**“NOMINATION”** means the Entry of a Horse in a Race.

**“NOMINATOR”** means the Person under whose name a Horse is entered for a Race.

**“NOR”** means No Official Rating with the ERA.

## O

**“OFFICIAL”** means any Person appointed by the ERA to act in the capacity of Judge,

Starter, Steward, Veterinary Surgeon, Handicapper, Clerk Of The Scales or any other Person employed and approved by the ERA to act in any capacity at any Race meeting.

**“OFFICIAL TRIAL”** means a trial;

- (a) That is approved and advertised by the ERA;
- (b) That is conducted in accordance with the conditions set by the ERA;
- (c) That is supervised by the Stewards;
- (d) For which Official entries are taken and results are Officially recorded.

**“OFFENCE”** means a breach of these Rules.

**“OWNER”** means any Person, company, partnership or syndicate registered or permitted to Race in accordance with these Rules.

## P

**“PACIFIER”** means a garment similar to Blinkers incorporating gauze over the eyepieces, but without eye cowls.

**“PASSPORT”** means the approved diagrammatic document of identity of a Horse and which has been issued by a Recognised Turf Authority.

**“PENALTY”** includes the Suspension of any Licence, Disqualification, warning off and the imposition of a fine and “Penalise” has a corresponding meaning.

**“PERSON”** includes an individual, a syndicate, a corporation, a body corporate or unincorporated association.

**“PHOTOGRAPH”** is the photograph taken when Horses pass the winning post by the Official Race finish camera.

**“PREMISES”** includes land, buildings or any fixed or moveable structure, including any vehicle.

**“PROHIBITED SUBSTANCE”** means a substance (including its metabolites, isomers and artifacts) which falls into any of the categories specified in these Rules and/or as listed by the ERA from time to time.

**“PRIZE MONEY”** means the advertised value of the total prize money but does not include:

- (a) Any bonus payable to the Nominator of a yearling or a stallion;
- (b) Any amount paid in the form of a Starter’s rebate or subsidy;
- (c) Any trophy or other non-monetary prize awarded under the conditions of the Race;
- (d) Any money paid by another Recognised Turf Authority by way of an incentive.

## R

**“RACE”** in respect of flat Races means plate, cup, sweepstake, private sweepstake or match, but does not include a steeple chase, hurdle Race, or national hunt flat Race. Provided that a Horse which has only won a match or private sweepstake may run in Races for Horses that have not won a Race, or for Horses that have not won a Race of a specified

value or distance and wherein the conditions of such a Race there is an allowance for Horses which have not won a Race or have not won a Race of specified value or distance, it may claim the allowance.

**“RACING CALENDAR”** means the Official periodical published by the ERA.

**“RACING AUTHORITY”** means a body, whether statutory or otherwise, that has the control or general supervision of racing within a country, territory or province other than the United Arab Emirates.

**“RECOGNISED MEETING”** means a meeting held under the sanction of a Recognised Turf Authority.

**“RECOGNISED TURF AUTHORITY”** means any turf authority included in the list set out in Instruction 7 (together with any other turf authority which may be approved by the ERA).

**“REGISTRY OFFICE”** means the office mandated by the ERA to process all racing related matters.

**“RETAINED RIDER”** means a Rider who is contracted to ride for an Owner.

**“RIDER”** means a Jockey, Apprentice, Amateur Rider, Work Rider or any other Person who rides a Horse on a Race Course in a Race, Official Trial or during Training or on a Training facility in the UAE and who is Licenced by the ERA.

**“RIDDEN FOR HIRE”** means riding by a Person who has held a professional Jockey's Licence from any Recognised Turf Authority or who has been paid directly or indirectly for riding in a Race within the preceding three years.

**“RULES OF RACING/RULES”** means the Rules and Instructions made by the Emirates Racing Authority herein printed and include all modifications thereof and all new ERA Rules of Racing and Instructions as therein printed.

## S

**“SAMPLE”** means a specimen of saliva, urine, perspiration, breath, blood, tissue, hide, hair or any other excretion product or body fluid taken from a Horse or Person.

**“SEASON”** means, for the purposes of these Rules, the racing Season which commences on August 1 each year and ends on July 31 of the following year.

**“SECRETARY”** means a Person appointed by the ERA from time to time to provide secretarial and administrative support to the Appeal Panel and to liaise with the parties and the Appeal Panel in relation to submissions of the parties and generally in the Appeal.

**“SHADOW ROLL”** means a piece of equipment made of lambs wool (or a synthetic material) that is fitted over the nasal bone of the Horse.

**“STABLE RETURN”** means a notification submitted by a Trainer, containing such information required by the Rules in respect of each Horse under his care, control and

superintendence; and thereafter from time to time to supplement by amending notifications and which has not been subsequently submitted.

**“STARTER”** means a Person appointed by the ERA to be a Starter for Race meetings.

**“STARTER’S ORDERS”** means that all Horses and Riders are under the control of the Starter at the starting barriers.

**“STEWARD”** means a Steward or Stewards duly appointed by the ERA and shall include Acting Stewards and Trainee Stewards.

**“SUSPENSION”:**

- (a) In relation to a Licenced Person means the temporary or permanent withdrawal of all rights to any Licence.
- (b) In relation to a Horse means the temporary or permanent withdrawal of its right to participate in any Race.
- (c) In relation to a unLicenced Person means the temporary or permanent withdrawal of a right to participate in any aspect of racing subject to the Rules.

## T

**“TEST”** means any examination, trial, assessment and the taking and analysis of any Sample.

**“TIME OF ENTRY”** means the time fixed for closing of entries for any Race.

**“TONGUE TIE”** means a piece of equipment that is looped around the tongue and either attached to the bit or secured around the jaw. Tongue Ties must be either nylon-stocking, leather strap or rubber band and be at least 15mm in width.

**“TRAINER”** means a Person who holds a Licence to train Horses.

**“TRAINEE STEWARD”** means any Person appointed to that position by the ERA.

**“TRAINING”** includes the preparation, education and exercising of a Horse to Race.

**“TRAINING PARTNERSHIP”** means a Training Partnership which is in accordance with ERA Rule 30A. For the purpose of these Rules, a Training Partnership shall have the same force and effect as the meaning of a Trainer.

**“TREATMENT”** means;

- (1) The administration of any substance (including any Medication) to a Horse and;
- (2) The administration or application of any physical procedure or therapy to a Horse intended to have an effect.

## V

**“VETERINARY OFFICER(S)”** means one or more veterinary surgeons Licenced by the ERA.

**"VISOR"** means a garment similar to Blinkers in which both cowls have holes cut in them permitting limited side or rear vision.

## **W**

**"WARNED OFF"** means a Person Warned Off a Race Course and who is not permitted to enter any Race Course under the control of the ERA.

**"WEIGHT-FOR-AGE"** means any Race in which weights are allotted on a weight-for-age scale in accordance with Instruction 24 hereto, and this may include penalties and allowances.

**"WINNER"** means the winner of a Race.

## **THE EMIRATES RACING AUTHORITY (ERA)**

The ERA is established for the following reason:

- (a) For the purpose of making, altering, amending and administering the Rules in the UAE.
- (b) For the purpose of carrying on the trade or business of Race Course management and for the purpose of education, entertainment and participation by the general public in Horse racing.
- (c) To perform any act to encourage Horse breeding and Horse Ownership in the UAE and to improve the quality of racing.
- (d) To organise, represent and promote Horse racing on an international basis.
- (e) To promote tourism, trade and commerce in the UAE.

## **APPLICATION OF THESE RULES**

- 1 Any Person who takes part in any matter coming within these Rules thereby agrees with the ERA to be bound by them.
- 2 These Rules shall come into operation on the First Day of September 2014 and any other Rules of Racing repugnant to or inconsistent with these Rules shall be annulled as from that day, but such annulment shall not;
  - (a) Affect the previous operation of any Rule so annulled or anything duly done or suffered thereunder, or
  - (b) Affect any right, privilege, obligation, or liability acquired, accrued or incurred under any Rule so annulled, or
  - (c) Affect any Penalty or Disqualification incurred in respect of any Offence committed against any Rule so annulled, or
  - (d) Affect any investigation, proceeding or remedy in respect of any such right, privilege, obligation, liability or Penalty as aforesaid.

## **POWERS OF THE EMIRATES RACING AUTHORITY.**

- 3 The ERA has power in its absolute discretion:
  - (i) To fix the dates on which all meetings shall be held and in the case of emergency or expediency to order the abandonment of any Race or Race meeting or to make any alteration in the date of any such meeting and to supervise and make such alterations as it may think advisable in the programme or the conditions of any Race at any meeting.
  - (ii) To grant or refuse to grant, without giving any reason, Licences to Jockeys, Apprentices, Amateur Riders, Trainers, Veterinarians, Stable Hands and others on such terms and conditions as it shall think fit. Each Licence is granted subject to Rule 3(xiv)(c), Rule 3A and Rule 6.
  - (iii) To accept or refuse to accept or to cancel any registration under these Rules.
  - (iv) To accept or refuse to accept entries and, in the case of expediency, to refuse to allow a Horse duly entered to run in any Race, in which event it may direct that the entrance money be remitted to the Owner.
  - (v) To make enquiry into and deal with any matter relating to racing whether such matters arise in the UAE or elsewhere, and to enquire at any time into the running of any Horse upon any Course or Courses.
  - (vi) Rescinded (2007).
  - (vii) To entertain and determine Appeals from the Stewards or Decisions of any Person or body of Persons to whom it has delegated any of its powers pursuant to Rule 3(xix).
  - (viii) To entertain and decide objections and Appeals lodged under these Rules.
  - (ix) To determine and regulate the form of and the procedure for any of the aforesaid enquiries, Appeals or objections, including the conduct and hearing thereof and to vary as it may think fit such form or procedure, whether laid down in these Rules or otherwise.

- (x) To publish or authorise the publication of, in any newspaper, notice or otherwise, any Penalty imposed or any Decision or any action taken by it or by the Stewards with respect to any matter or Person.
- (xi) To publish from time to time such Instructions as it may think fit and which Instructions shall have the same force and effect as these Rules.
- (xii) To exercise any other powers conferred upon it by these Rules and to take any such action as it considers necessary for the purpose of carrying out or putting into effect these Rules.
- (xiii) To make new Rules or modify the Rules or Instructions or any part thereof or to suspend any Rule or Instruction or any part thereof for such period or periods as it thinks fit without giving prior notice.
- (xiv) (a) to Penalise any Licenced Person or Official contravening the Rules.  
 (b) to withdraw or suspend the Licence of any Person who has committed any breach of the Rules.  
 (c) to revoke or withdraw any Licence issued to any Person if it shall determine in its absolute discretion that his conduct, whether or not conduct associated with racing, has rendered him unsuitable to continue to hold the Licence issued to him.
- (xv) To confirm, adopt or enforce any Penalty imposed by the Stewards of any Recognised Turf Authority, provided that;
  - (a) Subject to sub-rule (b) of this Rule, any Person on whom a Suspension or Disqualification has been imposed by any Recognised Turf Authority is a Suspended Person or a Disqualified Person under these Rules so long as the Suspension or Disqualification continues unless the ERA Licencing Committee declares that the Suspension or Disqualification shall not have effect under these Rules. An application to the ERA Licencing Committee for such a Declaration may be made by a Person Suspended or Disqualified provided that he has exhausted all procedures for the Appeal available under the Rules of the Recognised Turf Authority. The Person Suspended or Disqualified must particularise reasons why he believes the Penalty does not comply with the laws of natural justice.
  - (b) Where a Person upon whom a Suspension or Disqualification has been imposed by a Recognised Turf Authority has made an application for a Declaration under sub-rule (a) or this Rule, the ERA Licencing Committee has the power to defer the Suspension or Disqualification under these Rules Pending their Decision on the application.
- (xvi) To make reciprocal arrangements with any Recognised Turf Authority for the recognition or enforcement of each other's Punishments and penalties.
- (xvii) To annul or mitigate any Penalty imposed by the Stewards.
- (xviii) To prescribe the forms to be used under these Rules.

- (xix) To delegate to any Person or body of Persons all or any of its powers under these Rules.
  - (xx) To exclude or cause or order to be excluded for any period or for an indefinite period from any Premises owned, Licenced or controlled by it, any Person whose conduct, it in its absolute discretion, it considers to be such as to make the presence of such Person on such Premises undesirable in the interest of racing, whether or not such Person has consented to be bound by these Rules.
  - (xxi) To order any Horse to undergo sampling for Prohibited Substances both before and after a Race.
  - (xxii) To review a report from the Veterinary Officer, on the health or vaccination status of any Horse and to allow such Horse to compete in a Race, if satisfied that it presents no health hazard to other runners or to itself.
  - (xxiii) To make arrangements for any Person which it has authorised:
    - (a) to enter Premises owned, controlled or occupied by a Trainer;
    - (b) to examine Horses under the care of a Trainer;
    - (c) to request any information or record from any Person in such Premises;
    - (d) to inspect any vehicle used or to be used for the transportation of any Horse or Person connected therewith to or from a Race Course and to take Samples or custody of any feedstuffs, feed additives and of any other substances or materials as deemed necessary;
    - (e) to conduct a search of any Person present on such Premises and to take Samples or custody of any article or materials found on such Persons, in each case for the purpose of determining whether or not the provisions of these Rules are being complied with.
- 3A (i) Any Person or body authorised by the Rules to penalise any Person may, unless the contrary is provided, do so by warning off, Disqualification, Suspension, reprimand or fine not exceeding AED 400,000. Provided that a Disqualification or Suspension may be supplemented by a fine.
- (ii) Unless the ERA or the Stewards direct otherwise, a penalty by way of suspension or disqualification shall be served cumulatively to any other penalty of suspension or disqualification being served or ordered to be served.
- 4 All Race programmes must be approved by the ERA.
- 5 If any issue arises which is not, or alleged is not, addressed by these Rules, that issue shall be determined by the ERA.
- 5A These Rules shall be construed according to the laws of the UAE, whose courts shall have exclusive jurisdiction, save that the ERA may bring or authorise an action to be brought in whatever jurisdiction it deems necessary to enforce the Rules, in which event the applicable law will be the laws of the jurisdiction in question or (at the election of the ERA) the laws of the UAE.

## LICENCE APPLICATION

- 6 By applying for a Licence, each applicant will be deemed to have agreed that:
- (i) The Licence issued to him, unless and until revoked or withdrawn pursuant to these Rules, shall remain valid for the racing Season or part racing Season with respect to which it is issued, but upon expiry or prior revocation or withdrawal, the rights and/or privileges conferred shall cease and determine absolutely.
  - (ii) The Licence issued to him may be revoked or withdrawn or suspended by the ERA, in the exercise of its absolute discretion that his conduct, whether or not conduct associated with racing, has rendered him unsuitable to continue to hold the Licence issued to him.
  - (iii) The ERA, in exercise of its absolute discretion, may impose such restrictions as it considers appropriate either when issuing a Licence or at any time during the period of its validity.
  - (iv) The fees to be paid in respect of a Licence shall be such as the ERA shall from time to time decide.
  - (v) Any Person making application for a Licence for the first time may be required to undergo an examination based upon the Rules and/or a Training Course - the duration and content to be determined by the ERA - and to complete an application form setting out previous experience in Horse racing.
  - (vi) He will be bound to abide by the Rules.
  - (vii) The minimum age of a licensee shall be 16 years of age, unless otherwise approved by the ERA.

## STEWARDS

- 7
- (i) The ERA will appoint:
    - (a) Stewards;
    - (b) Trainee Stewards.
  - (ii) For each Race meeting conducted in the UAE:
    - (a) At least three (3) Stewards must officiate. Of these, one will be appointed the Chairman of the Meeting.
    - (b) The Chairman of the Meeting shall have a casting vote in relation to any determination by the Stewards, if such necessity arises.

## POWERS OF THE STEWARDS

- 8 To assist in the control of racing, Stewards shall be appointed according to the Rules of the ERA, with the following powers:
- (i) The Stewards have control over and free access to all stands, rooms, enclosures and other places used for the purposes of the meeting.

- (ii) The Stewards shall exclude from all such places under their control every Disqualified Person and all such other Persons or description of Persons as they may from time to time be required by the ERA to exclude.
- (iii) To make (and if necessary, vary) all such arrangements for the conduct of the meeting as they think fit and to dispense with the starting stalls as provided in Rule 20(iv).
- (iv) Under exceptional circumstances, or if the weather or ground be in an unfit state for running, to postpone or abandon or switch from grass to sand / all-weather a day's racing or to postpone or abandon any Race for that day whether before or after the commencement of the meeting or to cancel the requirement under Rule 67 that Horses should be ridden in front of the stands.
- (v) To determine all questions arising in reference to racing at the meeting, except as otherwise provided in these Rules and subject to Appeal under Rule 83.
- (vi) At any time, to order an examination by such Person or Persons as they think fit of any Horse entered for a Race or which has run in a Race.
- (vii) To make or cause to be made any Test in their opinion desirable to determine whether any Prohibited Substance has been administered to any Horse and to take possession on the Course or elsewhere of any Horse for any of the purposes aforesaid and to detain such Horse for such period as they consider necessary.
- (viii) To take any Sample or cause such Sample to be taken from any Rider either prior to or after riding in a Race, Official Trial or trackwork, and/or to appoint Officials or other Persons to take such Sample. Further, to make or cause to be made any Test to determine whether any banned substance is present in such Sample.
- (ix) To order down any Rider without assigning any reason if they think fit to substitute another Rider.
- (x) To prohibit any Horse from starting in a Race, but to allow a Horse to run when its vaccination record is out of order due to an unintentional oversight provided that the advice of the Veterinary Officer on duty is that such permission would not expose any other Horse to a disease risk.
- (xi) To order the removal of any shoes, racing plates, equipment or gear which is not approved by them for use on that Horse, or which, in their opinion, is unsuitable, unsafe or ineffective, and to Penalise any Person responsible for the use of the same.
- (xii) To order the withdrawal of a Horse from any Race at any time if in their opinion it is unfit to run or unable to start without unreasonable delay, or for any other reason, and declare such a Horse a non-runner.
- (xiii) In exceptional cases, to extend the time allowed for weighing-out, declaring weight, for starting, or for any other thing required by the Rules or conditions of a Race.
- (xiv) To Penalise the Owner, Trainer, Rider and/or any other Person found to be responsible for not allowing a Horse to run on its merits in any Race at a meeting or at any other meeting at which any one or more of them

officiated as Stewards notwithstanding that no enquiry was held into the running of the Horse in this/these other Race(s).

- (xv) To disqualify for such time and for such Races as they shall determine any Horse which has been the subject of fraudulent practice within the meaning of these Rules.
- (xvi) To order that future entries or Declarations be not accepted for such time and for such Races as they shall determine for any Horse which in their opinion has been found to be unduly inconsistent, fractious, unsound or unsuitable.
- (xvii) To Penalise by Disqualification or otherwise any Person who, in their opinion, has been responsible for, or party to, unduly inconsistent running of any Horse.
- (xviii) To regulate, control, take cognisance of and adjudicate upon the conduct of all Officials, Owners, Trainers, Riders, grooms, Persons attendant upon Horses and of all Persons frequenting the stands or other places used for the purposes of racing.
- (xix) Unless otherwise provided for under these Rules, to determine and regulate in their absolute discretion the form of and the procedure for any inquiries or investigations for the enforcement of these Rules and to vary as they may think fit any procedure whether in a particular case or generally and no enquiry or determination made by the Stewards may be rendered invalid or otherwise impugned for a defect or alleged defect in form or procedure.
- (xx) Where an objection has been lodged by notices exhibited and by form of public address system at the Race Course, to state and announce that such objection has been lodged, the subject and nature thereof, and also their Decision in respect of such objection or of any other matter coming within their jurisdiction.
- (xxi) In addition to or in lieu of any other penalties or Punishments laid down in these Rules, to Penalise in accordance with Rule 11 and 11A:
  - (a) Any Person who in their opinion has committed any breach of any of these Rules.
- (xxii) Make a report of all complaints to them and their Decisions concerning any accident between the time a Jockey weighed out and weighed in, the result of any enquiry held by them and any Penalty imposed.
- (xxiii) Within 14 days of a Judge's Decision as to the finishing order of a Race, which they consider to be incorrect, they may correct the same and declare the winner and placed Horses.
- (xxiv) To impose any other penalties provided for in these Rules.

8A The Stewards shall have the power at any time to enter upon the Premises occupied by or under the control of a Licenced Person and used in any manner in relation to any Licence (hereinafter referred to as the Premises) to:

- (i) Inspect, observe and search the Premises and also search any Licenced Persons thereon;
- (ii) Examine any Horse, take possession thereof and cause such Horse to be:
  - (a) Removed from the Premises and detained; or

- (b) Confined to, or otherwise detained at, or within, the Premises;  
for such period and on such terms and for such purposes as they think necessary.
  - (iii) Examine the Premises and any article or thing situated thereon and take possession of any article or thing found as the result of such search and remove from the Premises any article or thing of which possession has been taken and retain the same for such period as Stewards consider necessary under the Rules.
- 9 The Stewards may at any time inquire into, adjudicate upon and deal with any matter in connection with any Race meeting or incident related to racing.

## LICENCING COMMITTEE

- 10
- (i) The Licencing Committee will be responsible for the issue, withdrawal and Suspension of Licences required pursuant to these Rules.
  - (ii) Any Person aggrieved by a Decision of the Licencing Committee shall have a right to refer the matter to the ERA which shall confirm, amend or overturn such Decision.
  - (iii) A Person attending or required to attend before the Licencing Committee shall not be entitled to be represented by any other Person, whether a member of the legal profession or otherwise, provided that an Apprentice may be represented by his master.

## OFFENCES

- 11 The ERA or the Stewards may Penalise;
- (a) Any Person who, in their opinion, has been guilty of any dishonest, corrupt, fraudulent, improper or dishonorable action or practice in connection with racing.
  - (b) Any Person who willfully enters or causes to be entered or to start for any Race or Official Trial a Horse which he knew to be disqualified.
  - (c) Any Person connected with any Horse entered to run at any Race or Official Trial under a fraudulently false description.
  - (d) Any Person who fails or refuses to attend or give such evidence as directed at any inquiry or Appeal when requested by the ERA or Stewards to do so.
  - (e) Any Person who gives at any inquiry or Appeal any evidence, which in their opinion, is false or misleading in any particular.
  - (f) Any Person who makes any false or misleading statement or Declaration in respect of any matter in connection with the administration or control of racing.
  - (g) Any Person who uses, or has in his possession, any electric or electronic apparatus or any improper contrivance capable of affecting the performance of a Horse in a Race, Official Trial or Training gallop. For the purposes of this provision where an electric or electronic apparatus has

been designed to deliver an electric shock it is deemed to be capable of affecting the performance of a Horse in a Race, Official Trial or Training gallop.

- (h) Any Person guilty of improper or insulting behaviour at any time towards the ERA or any member thereof, or the Stewards or any Official in relation to their or his duties.
- (i) Any Person who has committed any breach of the Rules, or whose conduct or negligence has led or could have led to a breach of the Rules.
- (j) Any Person who fails or refuses to comply with any order, direction or requirement of the Stewards or any Official.
- (k) Any Person who in their opinion commits or commissions an act of Cruelty to a Horse, or is in possession or control of any article or thing which, in their opinion has been made or modified to make it capable of inflicting Cruelty to a Horse.
- (l) Any Person in charge of a Horse who in their opinion fails at any time:
  - (i) To exercise reasonable care, control or supervision of a Horse so as to prevent an act of Cruelty to the animal.
  - (ii) To take such reasonable steps as are necessary to alleviate any pain inflicted upon a Horse; and/or
  - (iii) To provide veterinary treatment where such treatment is necessary for the Horse.
  - (iv) To provide proper and sufficient nutrition for a Horse.
- (m) Any Person who in their opinion is guilty of any misconduct, improper conduct or unseemly behaviour.
- (n) Any Person who says, publishes or writes or cause to be said, published or written anything malicious, intimidatory or otherwise improper about the ERA, its members and employees or the Stewards or anyone else associated with the racing industry.

- 11A
- (i) No Person shall be entitled to make any claim for damages by reason of, or in consequence of, the imposition, annulment, removal, mitigation or remission of any Penalty imposed or purporting to be imposed under these Rules.
  - (ii) No Official, servant, employee or agent of the ERA shall be liable to any Person as a result of or in any way (either directly or indirectly) arising out of the exercise of any right, privilege, power, duty or discretion conferred or imposed or bona-fide believed to have been conferred or imposed under these Rules.
  - (iii) Notwithstanding anything in these Rules contained, when an Appeal has been instituted against a Suspension or Disqualification imposed under these Rules, the ERA may, in its absolute discretion and subject to such conditions as it sees fit, suspend the operation in whole or in part of the Rules imposing disabilities upon suspended or Disqualified Persons and Horses until the determination of such Appeal.
  - (iv) The power of Disqualification in this context includes also the power to disqualify a Horse for any reason including failure to start on more than

three successive occasions, willful misbehavior, and otherwise constituting a danger to the public, Jockeys, Officials or other Horses.

- 11B At an inquiry or hearing conducted under these Rules by the Stewards, no Person shall be entitled to have a representative, legal or otherwise, but the Stewards may permit a representative to be present and to take part in the inquiry as they consider appropriate.

## **OFFICIALS**

- 12 (i) The Officials shall be appointed for each racing Season by the ERA.  
(ii) Every Race club staging a meeting shall appoint for that meeting its own Race Course manager, Race Course veterinary surgeons, medical officers and clerk of the Course.
- 13 In case of emergency, the Stewards may, during a meeting, appoint a substitute to fill any of the above named roles for that meeting only. Such substitute will have the responsibilities and authority to act in that capacity.
- 14 Every complaint against an Official shall be made to the ERA in writing, and signed by the complainant.
- 15 No Person acting in any Official capacity at any Race meeting may have any pecuniary interest in any Race at such Race meeting.

## **VETERINARY OFFICER**

- 16 The Veterinary Officers shall have responsibility:
- (i) to observe all Races and to liaise with the Stewards over the selection of Horses for sampling.
  - (ii) to examine all runners and their Passports prior to racing for identification and vaccination purposes and ensure that all runners are physically fit and suitable to start.
  - (iii) to inspect runners in the paddock in order to observe and report to the Stewards instances of clinical evidence of skin disease, drugs, bleeding, sweating or other abnormality and the use of non approved or inappropriately applied gear or racing plates.
  - (iv) to provide evidence on Horses marked with the whip.
  - (v) to make clinical examination on Horses sent for sampling.
  - (vi) to supervise the sampling unit, and be responsible for manning of the unit, the custody and delivery of Samples to the forensic laboratory.
  - (vii) to examine Horses on the Stewards' Instructions which have run badly to endeavour to obtain an explanation.
  - (viii) to undertake field enquiries.

- (ix) to inspect all runners post Race and report to the Stewards any abnormalities.
- (x) to coordinate and oversee the provision of all veterinary services on a Race Course where a meeting is being conducted.

16B Race Course veterinarians appointed by the Race Club where a meeting is being conducted, must be authorised by the ERA. Race Course veterinarians are responsible to the Chief ERA Veterinary Officer and the Stewards conducting the Race meeting and must, if requested, provide reports to the Stewards regarding any incidents or on the condition of any Horses examined or treated on the Race Course or on controlled Premises.

Only Veterinary Officers, Official Race Course Veterinarians or Persons with special permission from the Stewards, may take onto a Race Course where a meeting is being conducted, a Prohibited Substance or any means of administering a Prohibited Substance. All incidents and treatments administered on-Course by Race Course veterinarians must be reported forthwith to a Veterinary Officer.

## CLERK OF THE SCALES

- 17 The Clerk of the Scales shall:
- (i) Weigh the Riders in accordance with the conditions of the Race.
  - (ii) Ensure that extra weight or any variation from the weight appearing on the program be declared at scale.
  - (iii) Order the announcement of such alterations in regard to allowances, overweights, change of Riders and withdrawals as may be required.
  - (iv) In all cases, except as provided in Rule 64(v) weigh in the Riders of the Horses placed by the Judge and report to the Stewards any Rider not presenting himself to be weighed in or not drawing his Correct Weight except as provided for in Rule 65(iii) and 66(ii).
  - (v) At the close of each day's racing send a return of weights carried in every Race and names of the Riders specifying overweight (if any).

## HANDICAPPER

- 18 (i) The Handicapper in making a handicap shall allot the weights to be carried in accordance with the definition of a handicap, so that every 1 point differential in a horse's official rating shall be equal to 0.5kgs. Additionally, weight-for-age will be incorporated into all races where necessary according to the official ERA weight-for-age scale. The weights for all handicaps, and all other Races, shall be published and no alteration shall be made to a weight except if the weights were incorrect because of:
- (a) A clerical error by the Handicapper or the ERA at the time of publication of the weights and or Declaration.
  - (b) Incomplete or inaccurate information on the form, age, sex or Penalty value of any Entry.

- (c) A correctly nominated Horse was not included in the original Entry.
- (d) Incorrect or amended conditions affected the original set of weights.

Such alterations or additions may only be made up to 10am on the day of the Race.

- (ii) The Handicapper will be responsible for allocating weights according to the conditions or terms of the Race. The highest weight allocated at entry shall be not more than 62kgs for Thoroughbreds or 60kgs for Purebred Arabians, excepting horses rated above the Benchmark in a Benchmark handicap. Following publication of Entry, additional weight shall be applied to any Horse which subsequently wins one or more Races that entitle it to any additional weight, regardless of whether such penalties take the horse's allocated weight above 62kgs. The lowest weight allocated at initial entry shall be not 53kgs, excepting any allowance entitled to be claimed by an Apprentice.
- (iii) The Handicapper may be prepared to allot an Official rating, when a Horse has;
  - (a) Participated in three qualifying Races; or
  - (b) Participated in two qualifying Races with at least one placing in the first five; or
  - (c) Won one qualifying Race under the Rules of a Recognised Turf Authority.

A qualifying race is any race where a horse completes the Race and is not subsequently disqualified. The allocation, adjustments, and removal of handicap ratings shall be at the discretion of the Handicapper.
- (iv) The allocation of an Official rating (handicap weight) will be at the sole discretion of the Handicapper.
- (v) In Handicaps, a winning Horse will become liable for a penalty of 2.5 kgs for each Race won following publication of Entry.
- (vi) Trainers of purebred arabian and thoroughbred Horses imported to Race under the Rules must supply an Official Race performance record acceptable to the ERA. The racing record of an imported Horse must be lodged with the ERA prior to Entry. Failure to do this will make the Horse ineligible to race.

## THE JUDGE(S)

- 19 The ERA shall determine the number of Judges at each Race meeting who shall:
  - (i) Occupy the Judge's box at the time the Horses pass the winning post or the Race may be declared void. The Decision of the Judge(s) must be announced immediately or after consulting the Photograph and this Decision shall be based exclusively on the position of the Horses' noses at the time they pass the winning post. Such Decision shall be final unless an objection to the winner or any placed Horse is made and sustained. Provided that this Rule shall not prevent the Judge(s) from correcting any

mistake, such correction being subject to confirmation by the Stewards, provided that the 'weighed in' signal has not been given.

- (ii) At the end of each day's racing, sign a report of the result of each Race.
- (iii) Inform the Stewards of the result of each Race once they are satisfied that the correct conclusion has been reached, whereupon, the Chairman of the Meeting (once the Jockeys have weighed in) will procure the announcement of the results.
- (iv) If they deem fit, use the advice of the Stewards to determine the result.

## **STARTER AND STARTING**

- 20
- (i) The Starter is responsible for obtaining a list of runners for each Race.
  - (ii) Every Horse shall be at the post ready to start at the appointed time. Horses may be led to the starting gate, carrying the Jockey, provided the sanction of the Stewards has first been obtained.
  - (iii) On arrival at the starting post, all Riders must immediately place themselves under the control of the Starter.
  - (iv) The Horses must be started by the Starter or his authorised substitute and all Races shall be started from starting stalls, provided that a Race may be started with a flag by special permission of the ERA or in case of emergency, by permission of the Stewards.
  - (v)
    - (a) The Starter shall call over the names of the runners and assign the Horses to the places drawn by lot, with all Horses taking their place at the start in the order drawn for them. The Rider who has drawn No.1 must always be placed in the stall nearest the inside rail, the other Riders must take their place in ascending order.
    - (b) The Starter will load runners in an order to ensure a speedy, safe and efficient loading to effect a fair start. When there are five (5) or less Horses drawn into the field, the field shall be loaded in the numerical barrier order each Horse has drawn. When there are six (6) or more Horses drawn into the field, a split loading procedure will be adopted where the field is split into two (2) groups, Group A: the barriers closest to the inside, and Group B: the barriers closest to the outside. The field will be loaded beginning with the horses drawn the lowest barrier in each group, followed by the adjoining barrier outwards, until all Horses have been loaded. This Rule will not negate the Starter's discretion on permitting difficult Horses to load later, or in an order at his discretion to ensure the safety for Horses, Jockeys and Officials.
    - (c) If a Horse is scratched from a Race, all Horses drawn on the outside of the scratched Horse's allotted draw, may be moved in towards the inside rail, if it is deemed expedient to do so.
    - (d) The Starter must ensure that the back gates are closed and securely locked on all Horses.
    - (e) It is the responsibility of the Starter to ensure that any equipment utilised to facilitate the loading of a Horse is removed prior to starting the Race.

- (f) Every Rider must ensure that his or her Horse occupies its allocated stall as determined by the barrier draw.
- (g) If a Horse starts from an incorrect stall, then prior to the declaration of correct weight the Stewards have the discretion to:
  - (1) Confirm the official order of placings;
  - (2) Declare the race to be void; or
  - (3) Declare any Horse concerned a non-runner.
- (vi) The Starter shall give all orders necessary for securing a fair start.
- (vii) In a start with a flag, the Starter has full power to remove an unruly Horse and should he do so, he must place it at such distance to one side or behind the other runners that it cannot gain any advantage itself or cause danger to or prejudice the chances of the other Horses and Riders engaged in the Race. Permission may be given by the Starter for a Horse to be held or the Starter may himself order an unruly Horse to be held, but in all cases the Horse must be held "at a stand" behind the other runners. Should an unruly Horse cause undue delay it may be withdrawn by the Stewards and declared a non-runner.
- (viii) (a) In a start from starting stalls, the Starter shall report immediately to the Stewards any Horse which fails to enter the place allotted by the draw or which becomes unruly.
- (b) Permission may be given by the Starter for a Horse to be held or the Starter may himself order a Horse to be held in any stall.
- (ix) (a) Should the Starter consider that through any cause a Horse is unable to start he shall at once so notify the Stewards.
- (b) Should the Starter consider that it is impossible to get a Horse into a starting stall in a reasonable time or for any reason it is not able to be placed in its starting stall, the Horse may be withdrawn by the Stewards and declared a non-runner.
- (c) If any Horse has been prevented from starting by the mechanical failure of any starting stall, or if a start has been effected while any Horse is Riderless, or if any Horse was denied a fair start, and the Stewards are of the opinion that such occurrence materially prejudiced the chances of such Horse, the Stewards may declare such a Horse to be a non-runner and make appropriate orders, except that such Horse which is ultimately declared as coming first, second, third or fourth in a Race must not be declared a non-runner.
- (d) If in the opinion of the Stewards a horse obtains an unfair advantage at the start of a race, the Stewards may declare such a horse to be a non-runner.
- (x) The Starter shall report to the Stewards any Rider who misconducts himself at the start.
- (xi) (a) Should the Starter consider that a fair start has not been effected, he shall declare it a false start and order the Riders, by means of a recall flag or false start siren, to return to post. The Starter's Decision shall be final. When the Starter has declared a false start, the Stewards shall decide whether the Race is to be restarted as soon as practicable or whether the Race is to be abandoned.

- (b) Where the recall flag is raised or the false start siren is activated without the order of the Starter, he shall nonetheless declare it a false start.
- (c) Should the Starter declare a false start and the recall flag not be raised or the false start siren not be activated, the Race may, on enquiry, be declared void and re-run.
- (d) Should the Starter declare a false start and the recall flag be raised or false start siren activated, any Horse completing the Course may be disqualified.
- (xii) A start in front of the starting post or on a wrong Course is void and Horses must be started again as soon as practicable. In the event of any Horse running the Course from a void start, the Trainer or Owner may, with the consent of the Stewards, withdraw the Horse from the Race.
- (xiii) The Starter shall report to the Stewards all cases in which he has dispensed with the starting stalls or made any notification under Rule 20(xi) and his reasons for doing so, and through what cause any delay was occasioned.
- (xiv) Under no circumstances will any Trainer, attendant, Starter's assistant, or any handler be allowed to use a whip or otherwise strike in any way any Horse while that Horse is under the control of the Starter prior to and during the start of any Race.
- (xv) No Owner, Trainer, or employee will be allowed upon the Course at the start without the permission of the Stewards.
- (xvi) All Horses that have not started in a Race administered by a Recognised Turf Authority must be approved by the Starter or other authorised Person as being tractable whilst entering, standing and leaving the stalls before it can be declared to start in a Race started from stalls. It shall be the responsibility of the Trainer to obtain from the Starter or other authorised Person a certificate to the effect that the Horse has been so approved and to produce the same to the Stewards and the ERA on demand.
- (xvii) The Starter shall maintain with the ERA a list of all approved Horses. Furthermore, the Starter must also keep a Starter's list which shall be posted in the Racing Calendar listing the names of all Horses ineligible to start for want of adequate Training whilst entering, standing and leaving the gate. The Horses shall be schooled under the supervision of the Starter or other authorised Persons.
- (xvi) Any Horse which appears on the Starters' ineligibility list may not be declared for any Race until such time as it has been removed from the list by the Starter or the Stewards.

20A

A Horse which has been withdrawn from a Race by the Stewards under Rule 8(xii) as being impossible to get into a starting stall or has been unruly or acted badly before or after leaving the starting gates, may not be allowed to start again until the Horse passes an Official stalls Test to the joint satisfaction of the Starter and the Stewards.

## HORSES

- 21 (i) The age of a Horse shall be determined by the ERA but shall generally be reckoned:
- (a) for a Horse foaled in the northern hemisphere as being from January 1 in the year in which it is foaled; and
  - (b) for a Horse foaled in the southern hemisphere from July 1 in the year in which it is foaled.
- (ii) Notwithstanding Sub-Rule (i) above, in circumstances where the ERA is satisfied through the provision of sufficient documentation to it, that:
- (a) a Horse has been purposely bred to northern hemisphere time, but foaled in the southern hemisphere, its age shall be reckoned from January 1; or
  - (b) a Horse has been purposely bred to southern hemisphere time, but foaled in the northern hemisphere, its age shall be reckoned from July 1.
- 21B A Horse which has in the opinion of the Stewards suffered an attack of bleeding shall not without the permission of the Stewards be cantered or galloped on any Race Course:
- (a) for a period as determined by the Stewards but that is not less than 21 Clear Days from the first occasion of an attack of bleeding.
  - (b) for a period as determined by the Stewards of up to 90 Clear Days on the occasion of a second attack of bleeding.
  - (c) If a Horse suffers a third attack of bleeding, such Horse shall be ineligible to start in any Race in the UAE.
  - (d) If a Horse displays blood at one nostril, the Trainer, Rider or any Person in charge of such Horse shall without delay report such occurrence to the Stewards.
  - (e) No Horse which has, in the opinion of the Stewards suffered an attack of bleeding may be entered to Race again until it has completed a satisfactory gallop, free of Prohibited Substances, in the presence of the Stewards or the Veterinary Officer.
  - (f) The Stewards reserve the right to refuse entries permanently or for any recommended length of time for any Horse they may consider to be a serious risk to itself or racing.
  - (g) For the purpose of this Rule "Bleeding" is defined as the appearance of blood in both nostrils, irrespective of the quantity, unless in the opinion of the Stewards such bleeding was caused by external trauma.
- 21C Unless prior approval is granted by the Stewards, Horses who are 12 years of age or older on the first day of the racing Season, shall be ineligible to Race in the UAE.
- 21D A Horse is ineligible to start in more than one Race over the course of a week, unless approved by the Stewards. For the purpose of this Rule, a week will be

defined as a period of 7 consecutive days, starting on Monday and ending on midnight Sunday.

- 21E
- (1) A Horse that has had a limb neurectomy or any artificial form of permanent limb desensitisation is ineligible for any Race, Official Trial or trackwork.
  - (2) A Horse which has had a tracheostomy, with or without a tracheotomy tube inserted, is ineligible for any Race, Official Trial or to participate in trackwork.
  - (3) If at any time the Stewards have reason to doubt the fitness of any Horse to Race they may declare such Horse ineligible to Race until such time as its fitness is established by such trial or Test or examination as they may specify.
  - (4) A Horse that has been subjected to any form of shockwave therapy shall not be eligible to participate in any Race or trial for seven Clear Days.
  - (5) If it is established by the Stewards that any Horse has started in a Race or Official Trial contrary to Rule 21E such Horse may be disqualified from any Race and/or Official Trial and the Trainer or any other Person in charge of the Horse may be penalised.
  - (6) Thermocautery (pin firing)
    - (a) It is prohibited to subject any Horse to thermocautery (pin firing).
    - (b) Any Horse subjected to thermocautery is ineligible to participate in trackwork, Official Trials or Races for a period of time determined by the Stewards.
    - (c) Subject to Rule 21E(6)(b), a Horse subjected to thermocautery prior to 12 December 2022 may participate in trackwork, Official Trials or Races, if the Trainer has prior permission from the Stewards in writing.
    - (d) The Trainer or any other Person in charge of Horse that fails to comply with subrule (a), (b) or (c) may be Penalized.
  - (7) Vesiculation (Blistering)
    - (a) It is prohibited to subject any Horse to vesiculation (blistering).
    - (b) Any Horse subjected to vesiculation is ineligible to participate in trackwork, Official Trials or Races for a period of time determined by the Stewards.
    - (c) Subject to Rule 21E(7)(b), a Horse subjected to vesiculation prior to 12 December 2022 may participate in trackwork, Official Trials or Races, if the Trainer has prior permission from the Stewards in writing.
    - (d) The Trainer or any other Person in charge of Horse that fails to comply with subrule (a), (b) or (c) may be Penalized.
  - (8) A Horse is ineligible to start in a Race if water is withheld pre-race in the opinion of the Stewards, to the detriment of the health, welfare or safety of the Horse. If any Horse has had water withheld prior to a Race or Official Trial, the Trainer or any other Person in charge of the Horse may be Penalised.
  - (9) Blood doping
    - (a) A Person must not:
      - (i) Withdraw and re-infuse; or

- (ii) Withdraw, manipulate and re-infuse, homologous, heterologous, or autologous, blood products or blood cells into the circulatory system of a Horse.
- (b) A Person must not administer any quantity of homologous, heterologous, or autologous blood, blood products or blood cells on any origin into the circulatory system of a Horse.
- (c) Notwithstanding subrules (a) and (b), the Stewards may find that it is a complete defence to a charge laid under subrule (a) or (b) if in their opinion:
  - (i) The relevant conduct was for life-saving purposes; and/or
  - (ii) The relevant conduct was part of a veterinary regenerative therapy for the treatment of musculoskeletal injury or disease administered by or under the supervision of a qualified veterinarian.
- (10) The withdrawal of blood from a Horse for any purpose is prohibited, other than for diagnostic/laboratory testing or as permitted by Rule 21E (9). The Trainer or any other Person in charge of such Horse may be Penalised
- (11) The use of chemical castration or immunocastration on any Horse is prohibited. The Trainer or any other Person in charge of such Horse may be Penalised.

- 21F No unraced Horse shall be permitted to race at a meeting in the UAE unless:
- (i) It has competed in an Official Trial in a manner satisfactory to the Stewards, or
  - (ii) The Stewards for good cause in their absolute discretion permit the Horse to race.

## REGISTRATION OF NAMES

- 22
- (i) A name may only be registered for a thoroughbred Horse bred in the UAE by application to the Emirates Thoroughbred Stud Book on the prescribed form signed by or on behalf of the Owner unless otherwise ordered by the ERA. In the case of purebred arabian Horses, an application must be made to the Emirates Arabian Horse Society.
  - (ii) If the name is available within the criteria laid down by the ERA, the name will be registered and will from the first Saturday after registration be the Horse's name under these Rules. The registered name may be published in the Racing Calendar.
  - (iii) When a name is registered for a Horse foaled outside the UAE and recorded in another country's stud book or by the competent authority a letter code will be added to denote the country of origin.
  - (iv) A name may not be changed for any Horse whose name has been registered by another Recognised Turf Authority except with permission of that turf authority.
  - (v) When a Horse has been registered in the UAE, no change of name will be permitted except with the permission of the ERA.
  - (vi) If the same name be claimed for two Horses the entitlement to registration with that name shall be determined by lot by the ERA.

- (vii) Every provision contained in this Rule shall be subject to the power conferred upon the ERA by Rule 3(iii) to accept or refuse to accept or to cancel any registration.

23 Before the name of a Horse which has been permanently or temporarily imported into the UAE can be registered, the ERA requirements as to registration must be complied with and these include:

- (i) A certificate of pedigree stating the name, pedigree, age, sex and colour of the Horse and any mark by which it may be distinguished signed by the Official stud book authority of the country in which the Horse was foaled. Should there not be an Official stud book, the ERA may accept a certificate endorsed by the Recognised Turf Authority.
- (ii) Should the name of the sire and dam of the Horse not be registered or be unknown, the fact shall be stated and such further description given by reference to distinguishing marks or the way in which the Horse was obtained as will identify it.
- (iii) A certificate of identification may be required which must state the age, colour, sex and any marks by which the Horse may be identified and must be signed in the UAE by an Official or an authorised veterinary surgeon who is neither the Owner nor the Trainer.
- (iv) A complete record of the Horse's performance.
- (v) All imported purebred arabian Horses for racing purposes must have a certificate from a competent authority validating their paternity. All purebred arabian Horses for racing purposes must be registered with the Emirates Arabian Horse Society and once completed, the Passport must be lodged for registration with the ERA. This registration process must be initiated as soon as possible after the Horse enters a racing stable.
- (vi) All thoroughbred passports must be lodged for registration with the ERA as soon as the Horse enters a racing stable.
- (vii) The ERA may refuse an Entry to a Race should any of the above requirements not be complied with or should the registration application be submitted less than 48 hours prior to a Race Entry. The ERA may impose any other condition that it deems necessary from time to time.
- (viii) All associated registration fees of the ERA must be paid.

## **INDIVIDUALS AS OWNERS**

- 24
- (i) The ERA will maintain a register of Owners. The name of an Owner may only be registered by application to the Registry Office on the prescribed form signed by the Owner or the Authorised Agent.
  - (ii) All Owners with Horses in Training are required to complete an Ownership validation form each Season. Failure to do so may result in their Ownership registration lapsing.
  - (iii) An Owner can appoint in writing on a prescribed form an Authorised Agent who will act on its behalf.
  - (iv) No Person under the age of 18 years may own or Race a Horse, unless approved to do so by the ERA under the following conditions:

- (a) The Person must be aged 10 years or older; and
- (b) A parent or guardian of the Person will be required to act as a financial guarantor for all accounts, fees and charges associated with the Ownership and Training of any Horse owned by such Person.
- (v) Horses which are imported into the UAE on a temporary basis for the purposes of racing may be permitted to Race under the same Ownership as registered with the turf authority where the Horse is permanently trained.
- (vi) Should the status of a Horse imported on a temporary basis change to a permanent import the ERA reserves the right to refuse the Ownership registration, if it conflicts with these Rules.
- (vii) The ERA may, in its absolute discretion at any time and without assigning any reason, withdraw the registration of any individual and thereupon shall cancel its registration as an Owner.

## **SYNDICATES AS OWNERS**

- 25A
- (i) A syndicate may be formed, approved and registered with the ERA as an Owner for the sole purpose of Horse Ownership and racing.
  - (ii) A syndicate must consist of a minimum of 2 and a maximum of 40 members.
  - (iii) The syndicate must lodge a completed application form to the Registry Office signed by each member of the syndicate and must contain the full names and addresses of each member and the percentage of his share in such syndicate.
  - (iv) A syndicate name must be registered and is subject to the approval of the ERA. The ERA reserves the right to rescind approval without assigning a reason.
  - (v) Any alteration to a syndicate by way of addition, deletion or amendment to any particular in such syndicate registration shall be subject to the approval of the ERA and will not come into effect until such approval is granted.
  - (vi) All syndicates must nominate a member of the syndicate to act as the syndicate representative. The syndicate shall only be entitled to exercise the powers of an Owner through the approved syndicate representative. The syndicate representative will be responsible for all ERA matters associated with the syndicate and or the syndicate's Horse(s).
  - (vii) A syndicate may also appoint an Authorised Agent to act on behalf of the syndicate for management purposes.
  - (viii) Each syndicate member, irrespective of the percentage held, will be considered by the ERA to be jointly and severally liable with other syndicate members for all and any costs associated with any Horses owned or Raced by the syndicate to which he, she or it belongs.
  - (ix) The ERA may, in its absolute discretion at any time and without assigning any reason, withdraw registration of any syndicate and thereupon cancel its registration as an Owner.

## **COMPANIES AS OWNERS**

- 25B
- (i) A company must register as an Owner with the ERA on the prescribed form and shall provide full details of its registration, directors and statutes.
  - (ii) The company must nominate a company representative and shall only be entitled to exercise the powers of an Owner through its representative. The company representative will be responsible for all ERA matters associated with the company's compliance with the Rules.
  - (iii) A company may also appoint an Authorised Agent for management purposes.
  - (iv) The company will be considered by the ERA to be liable for all and any costs associated with any Horses owned or Raced by the company.
  - (v) The ERA may, in its absolute discretion at any time and without assigning any reason, withdraw the registration of any company and thereupon cancel its registration of the company as an Owner.

## **PARTNERSHIPS AS OWNERS**

- 26
- (i) Partnerships may be formed, approved and registered with the ERA as an Owner.
  - (ii) A partnership must consist of a minimum of 2 and a maximum of 6 partners.
  - (iii) The partnership must lodge a completed application form to the Registry Office signed by each member of the partnership and must contain the full names and addresses of each partner and the percentage of their share in such partnership.
  - (iv) Any alteration to a registered partnership by way of addition, deletion or amendment to any particular in such partnership registration shall be subject to the approval of the ERA and will not come into effect until such approval is granted.
  - (v) All partnerships must nominate a partner to act as the partnership representative. The partnership shall only be entitled to exercise the powers of an Owner through its representative. The partnership representative will be responsible for all ERA matters associated with the partnership and or the partnership's Horse(s).
  - (vi) A partnership may also appoint an Authorised Agent to act on behalf of the partnership for management purposes.
  - (vii) Each partner, irrespective of the percentage held, will be considered by the ERA to be jointly and severally liable with other partners for all and any costs associated with any Horses owned or Raced by the partnership to which they belong.
  - (viii) The ERA may, at its absolute discretion at any time and without assigning any reason, withdraw the registration of any partnership and thereupon cancel its registration as an Owner.

## LEASING

- 27
- (i) A registered Horse may be Leased for the purpose of racing. A copy of the Lease agreement must be submitted to the ERA. The lessee, once registered as a lessee, shall be considered to be the Owner for the purposes of compliance with these Rules.
  - (ii) If a Lease be determined before the due date, notice thereof shall be given in writing to the ERA Registry before the Horse is thereafter nominated for any Race.
  - (iii) The ERA may refuse to accept for registration any Lease and may insist on the inclusion in a Lease of provisions it considers essential and may prohibit the inclusion of provisions considered undesirable, and may prescribe a general form of Lease which may be adopted with or without modification.
  - (iv) If any Lease lodged with the ERA is found to have been:
    - (a) altered or amended without the knowledge or consent of any party to the Lease agreement or,
    - (b) lodged with false or misleading information, or with information omitted which may mislead any party to the Lease agreement or,
    - (c) lodged with any party to the Lease agreement subsequently being unable to satisfy the ERA, if required to do so, as to his character and financial position; then such Lease agreement may be cancelled and any party to a breach under sub Rules (a) and (b) of this Rule may be penalised.

## RACING COLOURS

- 28
- (i) Every Owner in whose name a Horse is to run may register colours by application to the Registry Office and colours so registered shall not be taken by any other Person. All disputes as to the right to particular colours shall be settled by the ERA.
  - (ii) When an Owner has more than one runner in a Race, the runners shall carry different coloured caps to distinguish them, or in the case of an Owner having more than one set of registered racing colours, such Owner may be permitted to use those other colours in a Race.
  - (iii) The registered colours for any Horse at time of Declaration cannot be changed except by a Decision of the Stewards and a Penalty may be imposed.
  - (iv) Colours should not be tailored until the colours and design have been approved by the ERA.
  - (v) A set of racing colours will become registered upon payment of the prescribed fee.
  - (vi) The registration of a set of racing colours will lapse if such colours are not used in a Race for 3 consecutive years. Such colours will then become available to other Owners.
  - (vii) A visiting Horse will be permitted to Race in the registered colours of its Owner on a temporary basis, without payment of any fee, provided that

such colours and design are not the same as, or similar to, any colours registered with the ERA. If this is the case the ERA may permit such colours to be used provided that an approved modification or addition is made to those colours.

## DEATH OF AN OWNER

- 29 Nothing in these Rules shall prevent the Personal representative of a deceased Person running a Horse solely under the description "Executor(s)" or "Administrator(s)" or "Personal Representative of Deceased". Unless and until called upon by the ERA to do so, a Personal representative need not apply to be put on the register of Owners provided that the deceased Owner was registered at the time of his death.

## TRAINERS

- 30 (i) Every Trainer of a Horse running under these Rules must obtain from the ERA, subject to such restrictions and conditions as it considers necessary, either:
- (a) an appropriate Licence, to be applied for on the prescribed form, which may be granted for any period up to a year; or
  - (b) a permit, in the case of a Person Training only those Horses which are the sole property (free from all Leases or other joint arrangements, except those between Persons for whom he is entitled to train) of himself, his spouse, parents, sons or daughters (or the executors or administrators of such Persons), to be applied for on the prescribed form, which may be granted for any period up to a year.
- (ii) A Trainer may be issued a provisional Licence, at the discretion of the ERA, if he did not previously train Horses under the auspices of a Recognised Turf Authority, provided that he has satisfied the ERA that he has a good knowledge of these Rules and Horse management. A provisional Trainer's Licence will lapse after 3 months, or a full Licence will be issued once the applicant has shown his competence.
- (iii) Applicants for a Licence to train in the UAE must:
- (a) understand and adhere to these Rules;
  - (b) have at least two Horses of the same breed to train;
  - (c) have a suitable and appropriate track for Training Horses (both the stable and track will be inspected by an ERA representative);
  - (d) have and maintain a proper stable;
  - (e) utilise the services of a farrier experienced in shoeing Horses;
  - (f) have veterinary care provided by a veterinarian experienced in Horse racing;
  - (g) have at least two years experience as an assistant Trainer Licenced by a Recognised Turf Authority;
  - (h) pass the written examination conducted by the ERA with a pass mark of 85% or higher;

- (i) take the Horsemanship Test conducted by the ERA, while successfully proving his competence in the presence of a Trainer or Official;
  - (j) submit an application accompanied by three letters of recommendation from other Trainers Licenced by a Recognised Turf Authority; and
  - (k) have a letter of no objection (NOC) from their [UAE] sponsor that they may take out a Trainer's Licence. This does not apply to UAE nationals and GCC nationals
- (iv) Applicants for a visiting Trainer's Licence must:
- (a) be currently Licenced as a Trainer with a Recognised Turf Authority;
  - (b) understand and adhere to these Rules; and
  - (c) ensure that all staff are Licenced or registered by the ERA and that they comply with track and safety requirements pursuant to these Rules.
- (v) Applicants for an assistant Trainer's Licence in the UAE must:
- (a) understand and adhere to these Rules;
  - (b) act as an assistant Trainer for a Trainer;
  - (c) have and maintain a proper stable;
  - (d) have two years experience in racing and working with Horses whilst Licenced in an appropriate category by a Recognised Turf Authority; and
  - (e) submit an application accompanied by two letters of recommendation from other Trainers Licenced by a Recognised Turf Authority.

30A

- (a) The ERA may Licence a maximum of three (3) Persons as a Training Partnership. Each Person of the Training Partnership must meet the requirement of ERA Rule 30.
- (b) A Training Partnership will only be granted to a stable that has 40 or more Horses within the stable or a Training Partnership that has already been formed in another Recognised Turf Authority.
- (c) A Person Licenced to train in a Training Partnership shall not also train as an individual or in another Training Partnership, whether in the UAE or in another Recognised Turf Authority.
- (d) Persons who train in a Training Partnership shall be deemed to share equally the responsibilities, duties and rights under the ERA Rules in relation to Training Horses.
- (e) If any one (1) member of the Training Partnership commits a breach of the ERA Rules then all Persons in the Training Partnership are deemed to be jointly and severally responsible, and may be Penalised.
- (f) The ERA or the Stewards have the discretion to relieve a Person from the consequence stated in sub-rule (e) if the Person satisfies the ERA or the Stewards that the breach of the Rules does not relate directly to the Training of Horses.
- (g) If a Person wants to withdraw themselves or dissolve a Training Partnership, they must inform the ERA or the Stewards in writing prior to ceasing their partnership.

- 31 (i) Each Trainer shall:
- (a) conduct his business with reasonable skill and care, having proper regard to the interests of his Owners, to the safety of his employees, the welfare of the Horses in his care and manage and train such Horses in a good and proper manner;
  - (b) be responsible for all matters pertaining to the running of his stable including stable routine, feeding, security inside the stable and the conduct of his stable staff;
  - (c) conduct his business and comply with the reasonable directions of his Owners and the ERA;
  - (d) fulfill his obligations to his retained Jockey;
  - (e) (1) notify the ERA of Horse changes within his stables, including individual Horse changes (i.e. Horse gelding, vaccinations, Owner, Lease etc), on the prescribed ERA Stable Return form;
  - (2) a Stable Return for a Horse must be lodged with the ERA within 24 hours of such Horse entering or leaving a Trainer's care or stable;
  - (3) all Stable Returns so lodged are subject to the approval of the Stewards who may at any time require the Trainer to satisfy them that all of the information so contained is true and correct;
  - (4) ensure that any Horse he enters in a Race or Official Trial has been in his stable for a minimum period of six (6) weeks, unless the Trainer has been granted prior approval from the Stewards
  - (f) ensure that all stable staff who are required to be Licenced by the ERA are so Licenced and that they comply with track and safety requirements pursuant to these Rules.
- (ii) Failure by a Trainer to fulfill Rule 31(i) to the satisfaction of the ERA shall constitute an Offence under these Rules.
- (iii) The ERA recommends that Trainers carry appropriate employer's and third party liability insurance cover.
- 31B (i) All Trainers must keep records of all administrations of Medications, tonics and treatments to Horses in their care.
- (ii) Medical Records must include: name of the Horse, name of the product administered, date of administration, route or method of administration, quantity of the product administered and the name of the Person administering the product, for every administration for every Horse.
- (iii) Trainers must maintain medical records at their stables for a period of two (2) years and have them available for inspection upon request by ERA Officials at any time.
- (iv) Trainers who fail to maintain medical records or cannot produce the aforementioned records are guilty of an Offence.

## JOCKEYS AND RIDERS

- 32
- (i) No Person shall ride in any Race under these Rules unless they have applied for, on the prescribed form, a Jockey, Apprentice or Amateur Rider's Licence.
  - (ii) An appropriate Licence may be issued by the ERA under the following conditions, if either:
    - (a) the applicant complies with the requirements as set down by the ERA; or
    - (b) the applicant holds a current Licence issued by a Recognised Turf Authority and has provided the ERA with a clearance certificate issued by that turf authority.
  - (iii) Should the applicant hold a Licence which has not been issued by a Recognised Turf Authority then the ERA may impose such conditions as it deems fit on any Licence application in order to assess the applicant's suitability to be Licenced. In addition, the applicant must also provide a clearance certificate issued by the relevant Turf Authority.
  - (iv) A provisional Rider's Licence may be issued to a Rider at the discretion of the ERA, provided that a Trainer or Official from the ERA recommends that a provisional Licence be issued. A full Licence will be issued if and when the ERA is satisfied as to the Rider's competence and knowledge of the Rules. A provisional Licence will lapse after two calendar months from the date of issue and the ERA reserves the right to withdraw such provisional Licence at any stage without assigning a reason.
- 32A
- (i) The use of spurs in Races and Official Trials is strictly forbidden. Any Rider who uses spurs in a Race or trial is guilty of an Offence.
  - (ii) No Rider shall present himself to ride in any Race, Official Trial or trackwork unless he is physically fit to fulfil the requirements of such activity.
  - (iii) Any Rider may be required by the Stewards, as soon as practicable to present medical evidence or to undergo a medical or physical examination to prove his fitness to the satisfaction of the Stewards.
  - (iv) A Licenced Jockey or Apprentice shall not own, take a Lease or have any interest in a Racehorse and if he does such Jockey or Apprentice shall be disqualified and any Person having any interest with him and the Trainer of such Horse may be penalised.
  - (v) Any Jockey or Apprentice Jockey may be penalised if, in the opinion of the Stewards he fails or refuses to fulfil a Race riding engagement.
  - (vi) No Rider within the Jockeys room or any other area designated by the Stewards shall, without the permission of a Steward, use or have in his possession a mobile phone or other equipment capable of transmitting verbal or visual information.
  - (vii) All Riders must remain within the precincts of the weighing room until such time as they have obtained permission to leave the Race Course from the Stewards.

- (viii) Only padded whips of the design and specification under Rule 69A may be carried by a Rider at any time.

## RETAINED RIDERS

- 32B
- (i) All Jockeys who are retained by an Owner are required to provide notification of the arrangement to the ERA.
  - (ii) An Owner is only allowed to retain two (2) Riders or as otherwise approved by the ERA Stewards.
  - (iii) A Jockey who is retained to ride by an Owner is permitted to change rides under the following circumstances:
    - (a) When the Owner to whom the Jockey is retained has 2 runners in a Race and the mount of the retained Jockey has been withdrawn (prior to the commencement of the meeting), then the retained Jockey will be permitted to take the ride on the Owner's other runner.
    - (b) Should an Owner have 2 retained Jockeys and 3 runners in a Race, and if the mount of the 1<sup>st</sup> retained Jockey is withdrawn (prior to the commencement of the meeting), then both Retained Riders will be permitted to change mounts. If the mount of the 2<sup>nd</sup> retained Jockey is withdrawn (prior to the commencement of the meeting), then the 2<sup>nd</sup> retained Jockey can change mounts, but the 1<sup>st</sup> retained Jockey must retain his original mount.
    - (c) When the Owner to whom the Jockey is retained has 1 runner in the body of the field and a second runner which is a Reserve, the retained Jockey will be permitted to change mounts should the Horse which is a Reserve gain a start.
    - (d) Should an Owner who has 2 retained Jockeys have 2 runners in the body of the field and a third runner which is a Reserve, then if the Reserve gains a start both the 1<sup>st</sup> and 2<sup>nd</sup> retained Jockeys may change mounts.
  - (iv) A retained Jockey will not be permitted to change mounts should he choose not to ride for his retained Owner and his mount is withdrawn.
  - (v) A retained Jockey will not be permitted to change rides if a third party is involved. By way of clarification, if a Jockey accepts a ride for an Owner and then a Reserve in that Race which is owned by that Jockey's retained Owner gains a start, then the Jockey will not be permitted to change mounts. As such it may be necessary for a retained Jockey to refrain from accepting a ride in a Race if his retained Owner has a Horse which is listed as a Reserve.
  - (vi) A Stable Jockey who is not retained by an Owner will not be permitted to change rides from one stable runner to another after final fields have been released.

## APPRENTICES

- 33A
- (i) Any Person bound as an Apprentice may be granted by the ERA permission to ride in Races with the consent of his master.
  - (ii) Any Trainer Licenced by the ERA desiring to take an Apprentice shall first apply for the approval of the ERA which may be withheld without reason, given or granted on such terms and conditions as the ERA think fit.
  - (iii) All Apprentice agreements shall contain such provisions as shall be prescribed and approved by the ERA and shall be for a period of one year. Extensions by one year periods may be granted following a review by a board appointed by the ERA. Apprentice agreements shall be lodged at the Registry Office.
  - (iv) All engagements for an Apprentice to ride in Races must be made by his master and no Apprentice shall ride in any Race for any Person other than his master without his master's consent.
  - (v) Any Apprentice who has not ridden five (5) winners shall not be permitted to ride any Horse which has not had three starts, unless permission is granted by the Stewards.
  - (vi) Any Apprentice leaving his employment without the consent of his master or without just cause shall have his Apprentice agreement cancelled.
- 33B
- (i) A Trainer may employ an Apprentice after prior approval from the ERA. The application for approval must be made in writing and the Apprentice agreement signed by both parties.
  - (ii) An Apprentice holding a Licence issued by a Recognised Turf Authority may transfer his indenture on a short term basis to a Trainer in the UAE with the written approval of the Person who holds the indenture, and the Apprentice agrees in writing to the arrangements and undertakes to return to his master immediately on completion of his tenure in the UAE.
  - (iii) All wins by the Apprentice while riding in the UAE must be recorded and notified by the Apprentice to the original Turf Authority that issued his Licence.

## APPRENTICE ALLOWANCES

- 34
- (i) Unless otherwise provided in the conditions of a Race, any Apprentice granted permission to ride shall be entitled from the date of receiving such permission, to claim in any Race, with the exception of Group & Listed Races, an allowance on the following scale:
    - 4.5 kg until he has ridden 5 winners, thereafter;
    - 3.0 kg until he has ridden 30 winners, thereafter;
    - 2.5 kg until he has ridden 50 winners, thereafter;
    - 1.5 kg until he has ridden 70 winners.
  - (ii) Every Apprentice shall be entitled to claim the same allowance throughout a day of racing as he was entitled to claim at the beginning of that day.
  - (iii) It is the responsibility of every Apprentice and his master to report to the Registry Office when such Apprentice has ridden 5, 30, 50 and 70 winners.

- (iv) The ERA may fine, suspend or disqualify any Rider claiming an allowance to which he is not entitled, and any Person in any way concurring in or conniving at a Rider making such claim. The ERA may also disqualify from a Race any Horse which has been ridden in that Race by a Rider whose weight in that Race has been adjusted by an allowance to which he was not entitled.
- (v) Winners ridden by an Apprentice whether before or after he has been Apprenticed shall, for the purpose of ascertaining his allowance, be included in the count. In the event of a dead-heat for first placing each Horse running the dead-heat is deemed to be a winner.
- (vi) Except with the permission of the Stewards, every Apprentice must claim his full allowance and any Apprentice who fails to do so commits an Offence and may be stood down for such ride, provided that he rides at his minimum riding weight.
- (vii) A Winning Rides book shall be issued to every Apprentice and it shall be the responsibility of the Apprentice to ensure that it is in his possession at every Race meeting he attends and that winning mounts are entered in his winning rides book and endorsed by a Steward or Clerk of the Scales before the Apprentice leaves the Race Course on any day he has ridden a winner or winners.
- (viii) Every Apprentice must declare on his Licence application a minimum riding weight and must inform the Stewards immediately and in any event before Declarations any change to his minimum riding weight.

## **AMATEUR RIDERS**

- 35
  - (i) An Amateur Rider's Licence will not be issued to anyone who has ridden for hire unless two years have expired since last holding a professional Jockey's Licence issued by a Recognised Turf Authority. However, the ERA may issue a Licence if they believe that the circumstances in which the applicant "rode for hire" justify the issue of an Amateur Rider's Licence.
  - (ii) An Amateur Rider must be at least 16 years of age.
  - (iii) Before the ERA will issue a Licence to ride the applicant must provide the ERA with three (3) letters of recommendation from Trainers or other Trainers Licenced by a Recognised Turf Authority as listed in Instruction 7.
  - (iv) It is an Offence under these Rules for a Person who holds an Amateur Rider's Licence to accept payment either directly or indirectly for riding in a Race with the exception of expenses approved by the ERA.

## **JOCKEY FEES**

- 36 The riding fee for a Jockey at meetings under these Rules shall be determined from time to time by the ERA and shall be payable when the Jockey has weighed out for and is found to be fit to ride in a Race. A percentage of the riding fee will be deducted by the ERA for the purpose of the ERA Jockeys' Accident Insurance Scheme. Such percentage will be determined by the ERA.

In addition:

- (a) for a winning ride 10% of the value of the prize to the winner.
- (b) for placed Horses 10% of the value of any prize to the placed Horses.
- (c) for the purpose of this Rule, the value of the prize shall not include any trophy won under the conditions of the Race nor any money or trophy won for any Person other than the Nominator.

37 When an Amateur Rider rides, any Prize Money percentages earned by him will be paid back into the Prize Money fund for the relevant Race.

38 Recinded 2014

## **PENALTIES AND ALLOWANCES**

- 39
- (i) In calculating the amount that a Horse has won in any Race, account shall be taken of all moneys whether derived from stakes, or any other source gained by it for its Owner or for any other Person, excluding any prize given specifically to the Trainer, Rider or stable pursuant to the conditions of the Race.
  - (ii) The value of a Race to the winner shall be calculated before deduction of the percentages payable under Rule 93A and 93B and in the case of a dead-heat after the prizes have been divided in accordance with Rule 74, and shall be used for the purpose of calculating penalties, allowances and qualifications.
  - (iii) Except in Handicaps, fillies and mares will receive 2.0kgs weight allowance in addition to any other allowances to which they may be entitled in the Race.

## **WEIGHT PENALTIES**

- 40 When any Race is in dispute, both the Horse that was first past the post and any Horse claiming the Race shall be liable to all the penalties attaching to the winner of that Race until the matter has been decided.
- 41 Penalties for winning a fixed sum shall be understood to mean for winning it in one Race unless specified to the contrary.
- 42 Penalties are not cumulative unless so declared by the conditions of the Race.
- 43
- (i) Extra weights shall not be incurred in respect of private sweepstakes.
  - (ii) No Horse shall incur a Penalty for having run second or any lower placing in a Race.

## ENTRIES AND NOMINATIONS

- 44
- (i) Every Entry or Nomination must be lodged at the Registry Office at such time as stipulated in the Conditions Book. Such Entry or Nomination may be withdrawn or altered up to the respective time of closing.
  - (ii) Unless otherwise approved all entries or Nominations shall state the date, venue and distance or name of the Race and shall be in writing signed by the Owner or his Authorised Agent on the prescribed form. For the purpose of this Rule, a letter, a scanned Entry/Nomination on the prescribed form sent as an attachment via email to the Registry Office or Entry made by a registered user of the ERA online Trainers site shall be deemed to be in writing.
  - (iii) A Horse which is entered in a Race for which it is not eligible will render the Trainer liable to a fine.
  - (iv) The list of entries shall be closed at the advertised time and except in very exceptional circumstances no entries will be accepted after that time, always excepting that any Race which attracts less than eight (8) entries may be reopened for a further period of 24 hours and that Horses may be transferred to other Races as directed by the ERA.
  - (v) A Horse that is entered for a Race, but not qualified for the Race, should it become qualified before publication of the weights, the Entry will be accepted.
  - (vi) A Race which attracts less than six (6) entries may be abandoned and a substitute Race inserted or an existing Race divided at the discretion of the ERA. The conditions of the substitute Race must be published prior to the close of entries. The list of entries shall be closed at the advertised time and except in very exceptional circumstances will any entries be accepted after that time.
  - (vii) When a substitute Race has been framed, but is not required as a substitute, the Race club may, with permission of the ERA, run the Race as an extra Race or be used to replace any other Race at the same meeting.
  - (viii) After the close of entries as stipulated in the Conditions Book, a supplementary Entry can only be made for Group and Listed Races, with a fee of 0.035% of the total Prize Money of the Race, as published in the Conditions Book. A supplementary Entry must be lodged by 3pm on the day of entries as stipulated in the Conditions Book. Any supplementary fees published for a Race in the Conditions Book shall supersede this Rule.

## FORM OF ENTRY OR NOMINATION

- 45
- (i) Entries and withdrawals of such entries before the time of closing shall be made in writing and signed by the Owner of the Horse or his Authorised Agent.
  - (ii) For the purpose of this Rule, a letter, a scanned Entry on the prescribed form sent as an attachment via email to the Registry Office or Entry made

by a registered user of the ERA online Trainers site shall be deemed to be in writing.

- 46 No un-named Horse shall be entered for any Race. The Entry shall state the registered name of the Horse.
- 47 Entries and Nominations shall not become void on the death of the Person in whose name they are made or taken and all rights, privileges and liabilities that could have attached to the deceased Person had he or she been alive shall attach to his Personal representative.

## **STRIKING OUT OF ENGAGEMENT**

- 48 (i) A striking out of engagement shall be made in writing by the Owner or his Authorised Agent, subject to the Rules relating to sales with engagements and for the purpose of this Rule, an email shall be deemed to be in writing.
- (ii) The document striking a Horse out of an engagement shall state the name of the Horse and give details of the engagement.
- (iii) The notification of the death of a Horse to the Registry Office will result in its withdrawal from all engagements.

## **SALE WITH ENGAGEMENTS**

- 49 When a Horse is sold with its engagements or any part of them the seller cannot strike the Horse out of any such engagements.
- 50 (i) In case of changes of Ownership the engagements can only be transferred by the written acknowledgment of both parties or their Authorised Agents that the Horse was transferred with engagements together with details of the engagements transferred, which must be lodged before the Horse starts for such engagements. If certain engagements only are specified those only are sold with the Horse.
- (ii) All Entry, Nomination, Declaration, and starting fees are the responsibility of the Owner who entered the Horse for such Race/s, unless a verified agreement to the contrary is provided to the Registry Office.

## **DECLARATION OF RUNNERS AND NAMING OF RIDERS**

- 51 (i) Every Declaration to run must be lodged at the Registry Office at such time as stipulated in the Conditions Book. Such Declarations may be subject to withdrawal or alteration up to the respective time of closing.
- (ii) Unless otherwise approved all Declarations shall state the date, venue and distance or name of the Race and shall be in writing signed by the Owner or his Authorised Agent on the prescribed form. For the purpose of this Rule, a letter, a scanned Declaration on the prescribed form sent as an attachment via email to the Registry Office or Declaration made by a

registered user of the ERA online Trainers site shall be deemed to be in writing.

- (iii) Trainers must state their preference when declaring a Horse in more than one Race and when declaring more than one runner in the same Ownership in a Maiden Race.
- (iv) If a Trainer fails to state their preference/s for a dual or multiple declared Horse and Horses in the same Ownership in Maiden Races, the ERA may determine the order in which such Horse is included in any Race/s and the Trainer may be fined.
- (v) Trainers must confirm colours and/or cap colours at time of Declaration in the case of declaring two or more Horses with the same Owner in any Race. Should a cap colour not be declared the Registry Office will assign a cap colour without consultation.
- (vi) Trainers and/or their Authorised Agent must name a Rider by the prescribed time, as per the conditions book, for each Horse declared to start.
  - (a) Should a Rider not be named by the prescribed time the ERA may assign a Rider without consultation and the Trainer or any other Person at fault may be fined.
  - (b) Any change of Rider thereafter can only be made with the approval of the Stewards and may result in the Owner, Trainer, Rider or Authorised Agent being fined.
  - (c) Any change of Rider after the prescribed time for naming of Riders shall be made in accordance with the provisions of Rule 56(iv) where practicable.
  - (d) Should a Rider be named, however the Trainer and/or Authorized Agent did not confirm the engagement with the Rider or Riders Agent, such Trainer and/or Authorized Agent may be Penalised.
- (vii) If the Horse is to run in a Hood, Blinkers, Visor, Cheek Pieces, Tongue Tie, Ear Plugs, Shadow Roll or Pacifier, they must be declared by the prescribed time, as per the Conditions Book, on the ERA Declaration form or ERA online Trainers site. Trainers must indicate the use of equipment for the first time, a change in equipment, or the discontinuance of use of equipment. Only gear and conditions of use that have been approved and included in the Register of ERA Approved Gear, may be used on any Horse in a Race, Official Trial or trackwork. Provided that the Stewards may approve other gear to be used. Failure to declare the correct change or application for a late equipment change may result in the Trainer or Authorised Agent being fined.
- (viii) If at the time of closing Declarations there are less than eight (8) declared runners, the Registry Office may:
  - (a) Reopen the Race to all eligible Horses. The Registry Office will inform all Trainers by, Short Message (SMS) or any other method stating the Race that has been reopened. It is the responsibility of the Trainer or his Authorised Agent to ensure that they are able to be contacted and their mobile telephone is able to receive

messages and no liability shall be attached to the ERA if a message is not recieved for any reason.

- (i) If after implementation of Rule 51(viii)(a) there is only one declared runner, the Race will be abandoned.
  - (ii) If after the implementation of Rule 51(viii)(a) there is more than one declared runner but less than eight (8), Rule 51(viii)(b) may be implemented.
- (b)
  - (i) The Race may be abandoned and a substitute Race scheduled or an existing Race divided at the discretion of the ERA.

The conditions of a substitute Race must be published prior to the close of entries. Double Declarations for a substitute Race and a programmed Race stating an option, will only be accepted for Declarations closing on the same day.
  - (ii) In the event that a Race is cancelled or in any other circumstances it deems fit, the ERA may divide any Race programmed for the same day. Division of Declarations upon the division of any Race shall be made in accordance with the conditions of the Race. Horses originally declared with the same Ownership or under the care of the same Trainer, shall (where practicable) be placed in separate divisions of a divided Race. All other Declarations for any divided Race shall be seperated by lot as per Instruction 1(vi) so as to provide a number of Starters as near equal as possible for each division of such divided Race.
  - (iii) When any Race is divided the amount of Prize Money shall not be divided and shall remain the same for each divided Race as per the original advertised conditions of the Race unless otherwise previously advertised as a condition of such Race or in Maiden Races where the Prize Money shall be divided equally.
- (ix) The ERA, in its absolute discretion, may abandon any Race (whether re-opened or not) which in its opinion fails to attract a suitable field.
- (x) The ERA may refuse the Declaration of any Horse for any Race if it is of the opinion that such Horse may constitute a danger or that its current racing performances are not of a standard which is commensurate with the level or status of the Race or for any other reason that the ERA may determine.
- (xi) In the event that Declarations for a Group or Listed Race exceed the approved safety limit, an additional Race may, with the approval of the ERA, be formed from the declared runners which were balloted. The additional Race will be conducted under the same conditions as advertised although it will not carry Group or Listed status and the prizemoney offered may be changed.

Horses listed as Reserves in the Group or Listed Race will be included in the body of the additional Race. If there is a withdrawal from the Group or Listed Race prior to the Official withdrawal time then the Reserve will be required to take their place in that Race and will be withdrawn from the additional Race.

## **BARRIER DRAW**

- 52
- (i) As soon as practicable after the time fixed for Declaration, the draw allotting positions at the start shall be made by the ERA.
  - (ii) Barrier positions shall be filled at the time and in the manner determined by the ERA.
  - (iii) If any Horse which should have been included in the draw is not included and the omission is, in the opinion of the Stewards, discovered within a reasonable time after the Declaration, the barrier position of the Horse thereafter included shall be determined by lot drawn from the total number of Horses which may start in the Race.
  - (iv) The Horse in the original draw with the same barrier position and all Horses drawn outside that Horse shall be moved out one position to accommodate the Horse previously omitted.

None of the foregoing shall in circumstances they deem appropriate prevent the Stewards from ordering that all barriers be redrawn.

## **ENTRANCE MONEY**

- 53
- (i) Entrance money and stakes must be paid in cash, if so required, to the proper authorities to receive the same at the time they so require.
  - (ii) If a Race is not run or is declared void, stakes, forfeits and entrance money shall be returned.
  - (iii) If a Horse, being declared for a Race, is balloted out or precluded from a start in such Race under the provisions of Instruction 1, any Entry fee related to that Race shall be refunded.

## **FORFEIT LIST**

- 54
- (i) The Forfeit List shall be kept at the Registry Office and shall be published in the Racing Calendar. The Forfeit List shall include all arrears and shall state the name or names of each Owner or other Person or Horse in respect of which arrears are due. Arrears which have been so published shall not be removed from the Forfeit List until paid.
  - (ii) The ERA will order a notice to be published, when arrears have been paid, releasing a Person from the Forfeit List.
  - (iii) A Trainer may apply to the ERA to have an Owner placed on the Forfeit List for non-payment of Training fees. Whether to place the Owner on the list or not will be at the discretion of the ERA.

- 55 For so long as the name of an Owner or any other Person or Horse is in the Forfeit List or for so long as the name of an Owner or any other Person is in a list similar to the Forfeit List published by a Recognised Turf Authority, such Person or Horse is disqualified. The registration in accordance with these Rules of any Owner or any Licence given by the ERA to any Person whose name is in the Forfeit List, or in a list similar to the Forfeit List published by a Recognised Turf Authority, shall be cancelled.

## **WEIGHING ROOM**

- 56
- (i) No Person shall be admitted to the weighing room or designated area for weighing in or weighing out Riders without special leave from the Stewards except an Official, the Owner, Trainer and Rider, or other Person having the care of a Horse engaged in the relevant Race. Any Person refusing to leave shall be reported to the Stewards.
  - (ii) No Persons other than Officials of the meeting, Jockeys and Apprentices shall be permitted access to the Jockeys' room.
  - (iii) Each Rider who has been engaged to ride in a Race shall be physically present in the Jockeys' room no later than one (1) hour prior to post time for the first Race in which he is scheduled to ride, unless excused by the Stewards or the Clerk of the Scales, and upon arrival shall report to the Clerk of the Scales his engagements. In the event a Rider should fail for any reason to arrive in the Jockeys' room prior to one (1) hour before post time of a Race in which he is scheduled to ride, the Clerk of the Scales shall so advise the Stewards, upon receiving advice from the Trainer or his Authorised Agent, who thereupon may name a substitute Rider and shall cause announcement to be made of any such Rider substitution.
  - (iv) If a Rider after being declared is prevented by accident or illness or other cause from riding, the Stewards may permit another Rider of comparable standard to be substituted, e.g. an Apprentice may be substituted for an Apprentice, a Jockey may be substituted for a Jockey. Whilst an Apprentice with no claim may be substituted for either.
  - (v) It is the responsibility of the Trainer to ensure that the colours declared for runners are made available at the weighing room no later than 45 minutes prior to their respective Races.

## **WEIGHING OUT**

- 57
- (i) No Rider shall be weighed out (except as provided below) for any Race unless the Horse and the Rider have been declared under Rule 51.
  - (ii) The Trainer is responsible to ensure that the Horse carries the Correct Weight in accordance with the conditions of the Race. The Trainer is required to declare the weight the Horse will carry if different from that appearing on the Official programme to the Clerk of the Scales before the Rider weighs out. Failure to make this Declaration will render the Trainer liable to a fine

not less than AED250. Apprentices' allowances will not be taken into consideration when declaring a weight under this Rule.

- (iii) Every Rider must be weighed for a specified Horse by the Clerk of the Scales not less than a quarter of an hour before the time fixed for the Race. In exceptional cases, the Stewards may extend the time allowed for weighing out.
- (iv) Jockeys will be allowed to weigh out up to and including one (1) kilogram over the declared / allotted weight with the prior approval of the Stewards. Any Jockey in breach of this Rule may be Penalised and/or another Rider substituted.
- (v) Any Rider or Rider's agent who accepts a ride on more than one Horse in any Race shall be liable for a Penalty.

58 The following articles must be put on to the scales both when weighing out and weighing in:

- (i) Everything carried or worn by the Rider and by the Horse in a Race except the number cloth, safety helmet, whip, goggles, bridle (including noseband), rings, muzzle, Hood, Blinkers, Visor, Cheek Pieces, Tongue Tie, Pacifier, Shadow Roll, Earplugs, martingale, breastplate or breastgirth and anything worn on the Horse's legs or hooves.
- (ii) To compensate for the Rider having to wear a body protector the scales will be adjusted by one (1) kilogram.
- (iii) Any Rider who weighs out, or attempts to weigh out, when not wearing an approved body protector shall be liable for Penalty.

59 If a Rider, after he has been weighed for a specified Horse and before he has come under Starter's Orders, is prevented by accident or illness from riding in the Race, another Rider may be substituted with the permission of the Stewards, provided there is no unreasonable delay.

## **NON-RUNNERS**

- 60 (i) If a Horse has been declared in a Race and the Trainer and/or representative wishes to withdraw the Horse from the Race, they must advise the ERA prior to 9:00 AM on the day of the Race for which the Horse is declared. Trainers are personally responsible for ensuring that notification of any Horse withdrawn has been received by the ERA via the online Trainers' portal. Failure to do so will render the Trainer liable to a fine.
- (ii) A Trainer is permitted to withdraw a Horse from a race, for any reason, with the applicable fee to be imposed as published in the ERA Condition Book.
- (iii) If a Horse is withdrawn from a Race on suitability grounds, such horse must pass a suitability examination conducted by an ERA Veterinarian, prior to being entered to race again. Horses withdrawn on suitability grounds will not be permitted to be examined by the ERA Veterinarian for a period of 30 days from the day of notification of the withdrawal.

- (iv) If a declared Horse is withdrawn from a Race by the Stewards on veterinary grounds, the Stewards will require a veterinary certificate of suitability to Race, to be produced for such Horse prior to it being entered to race again.
- (v) If a Trainer applies to withdraw a declared Horse after 9:00 AM on the day of the Race, such horse can only be withdrawn on Veterinary grounds. Such application must be made directly to the Stewards and the Trainer must provide a veterinary certificate of fitness for the Horse prior to being entered to race again.

## EQUIPMENT

- 61 The saddle comprises the saddle itself, the girth, the surcingle, the stirrup irons and the leathers or webs and the Rider is responsible for the fit condition of the saddle which he uses, but in the case of an Apprentice, the responsibility rests with him and his master jointly. While being ridden every Horse shall be properly bridled and saddled.
- 61A All equipment used in trackwork, Official Trials and Races is subject to the Register of ERA Approved Gear and the approval of Stewards pursuant to the conditions of Rule 8(xi).
- 62
  - (i) Every Rider shall, when mounted on a Horse, wear a correctly fitted and fastened safety helmet of a design approved by the ERA.
  - (ii) Only safety helmets meeting the following standards are approved by the ERA:
    - BS EN 1384: 1997
    - PAS 015: 1994
    - US ASTM F11 63-01
    - AS/NZS.3838 2003
  - (iii) The Rider is responsible for the serviceable condition of the safety helmet, but in the case of an Apprentice, the responsibility rests with him and his master jointly and severally.
  - (iv) Visiting Jockeys may, with the prior approval of the Stewards, wear a safety helmet which conforms to the Rules and regulations of the Recognised Turf Authority in their country of origin.
  - (v) The use of blinding Hoods or blinding Blinkers or any form of shutter is prohibited in track work or Races.
  - (vi) No substitute for a whip shall be carried in any Race.
  - (vii) Every Rider shall, when mounted on a Horse, wear a correctly fitted safety vest of a design approved by the ERA.
  - (viii) Only safety vests meeting the following standards are approved by the ERA:
    - EN 13158: 2000
    - BS EN 13158: 2000
    - SATRA
  - (ix) The modification of a safety vest will negate its approval. Any Person in possession of a modified or non approved safety vest shall be liable for Penalty.

- (x) Visiting Jockeys may, with the prior approval of the Stewards, wear a safety vest which conforms to the Rules and regulations of the Recognised Turf Authority in their country of origin.
  - (xi) No Rider shall;
    - (a) Wear or carry any apparel, gear or equipment which has not been approved to be worn by the ERA; or
    - (b) Omit to wear or carry any apparel, gear or equipment which has been mandated to be worn or carried by the ERA, or which would be reasonably be expected to be worn or carried.
- 63
- (i) Every Horse running in a Race shall carry a number cloth which must be worn so that the number is clearly visible.
  - (ii) If a Horse is declared to wear Blinkers, Hood, Visor, Earplugs, Shadow Roll, Cheek Pieces, Pacifier or Tongue Tie they must be presented and worn by the Horse in the parade ring, on the way to the start and during the Race. A Trainer and/or representative who fails to present or race their Horse in their declared equipment may be Penalised.
  - (iii) Only Ear Plugs approved by the ERA are permitted to be used in a Race. When a Horse is to run in a Race with Ear Plugs, they must not be removed until such time as the Horse has been unsaddled following the Race. Provided that the Stewards may allow a Horse to wear Ear Plugs to proceed to the start, in which case such Ear Plugs must be removed by the Starter or his staff prior to the Horse being placed in its stall.
  - (iv) Each Horse shall carry articles which are deemed necessary for the proper control and presentation of racing. This includes, but is not limited to, bridle numbers and timing transponders. No article in this category shall be included in the Riders' weight when weighing in or out.
  - (v) Unless otherwise permitted by the Stewards, every Horse must be presented in the parade ring no later than 15 minutes prior to the advertised start time of the race.

## SHOEING

- 63A
- (i) For Races on grass or a synthetic surface, no Horse may enter the parade ring or run in shoes which have protrusions from the ground bearing surface in excess of 2mm, as measured from the plane of the ground bearing surface, or in the case of a plate with multiple planes, the height shall be measured from the plane that allows for the maximum total height (eg. Queen's plate XT).
  - (ii) For Races on dirt/sand, no Horse shall enter the parade ring or run in shoes that have protrusions from the ground bearing surface on the front hooves in excess of 2mm, as measured from the plane of the ground bearing surface, or in the case of a plate with multiple planes, the height shall be measured from the plane that allows for the maximum total height (eg. Queen's Plate XT).  
Protrusions from the ground bearing surface, toe grabs, turn downs, blocks, calkins and stickers are limited to ¼ inch (7 mm) on the rear hooves.
  - (iii) No Horse may Race unshod without the prior approval of the Stewards.

- (iv) The use of glue-on shoes is permitted on all surfaces under the following conditions:
  - (a) The shoes are fitted to the satisfaction of an ERA approved farrier.
  - (b) Notification is given to the Registry Office by Declaration time of the intention to use or to cease using glue-on shoes.
- (v) Steel Training shoes are not permitted in Races, unless approved by the Stewards.
- (vi) Plates and tips must not exceed 150 grams in weight, provided that upon application the Stewards may give permission for the use of approved therapeutic plates up to a weight of 170 grams.
- (vii) The use of any shoes, other than plain shoes in Races requires the prior approval of the Stewards. This includes bar shoes, pads and substances adhering to the sole of the foot and any other shoe of a non-standard design or nature. Applications for approval may be made up to the time of Declarations for the relevant Race.
- (viii) The heads of nails must not protrude more than 2mm from the surface of the shoes as measured from the plane of the ground bearing surface or in the case of a plate with multiple planes, the height shall be measured from the plane that allows for the maximum total height.
- (ix) Outer rim or outer rim-type shoes are permitted in races on dirt/sand surfaces on hind hooves only. Elevation of shoes from the ground bearing surface is limited to ¼ inch (7mm).
- (x) Any mishap to a plate or tip occurring in a Race must be reported by the Trainer to the Stewards without delay.

## WEIGHING-IN

- 64 (i) Immediately after pulling up, the Riders of the Horses placed first, second, third, fourth and fifth, or as otherwise directed by the Stewards, in each Race must ride their Horses to the place appointed for unsaddling.
- (ii) Every Rider must present himself to be weighed by the Clerk of the Scales at once (or within such time as is acceptable to the Stewards) and if he fails to complete the Race he must report the reason to the Stewards. If a Rider is prevented from weighing in by reason of accident or illness by which he or his Horse is disabled, he may walk or be carried to the scales.
- (iii) In cases where the Judge(s) consults the Photograph and has not announced his Decision before the Riders return to weigh in, Riders may dismount either inside or within a reasonable distance of the place appointed for unsaddling the winner. In such cases the Clerk of the Scales shall weigh in all the Riders until such time as the Judge's Decision is announced.
- (iv) If a Rider does not present himself to be weighed in or dismounts before reaching the place appointed for that purpose or touches (except accidentally) any Person or thing other than his own equipment before weighing in, his Horse may be disqualified and the Rider shall be liable to Penalty unless he can satisfy the Stewards that he was justified in the circumstances.

- (v) If a Rider is too ill to weigh in, his Horse shall not be disqualified provided that the Rider weighed out at not less than his proper weight and the Stewards are satisfied that there are no circumstances which suggest that less than the proper weight was carried throughout the Race.
  - (vi) In the event any part of the Rider of a Horse make contact with the ground during the running of a Race, irrespective of whether the Rider has contact with the Horse, such Horse shall be deemed not to have carried the weight. As such the Horse shall be disqualified from the race.
- 65
- (i) In weighing in, a Rider shall include in his weight everything that the Horse has carried in the Race except as provided in Rules 58 and 63(iv).
  - (ii) If a Rider weighs in at more than half a kilogram (0.5kg) above the weight imposed or declared, the Rider and any other Person at fault may be penalised.
  - (iii) If a Rider cannot draw the Correct Weight the Clerk of the Scales shall allow him half a kilogram (0.5kg). If he cannot then draw the weight his Horse shall be subject to Disqualification and the Rider or any other Person at fault shall be liable to a Penalty.
  - (iv) If a Horse carries less than the weight it should carry in accordance with the conditions of the Race and these Rules, it shall be subject to Disqualification.
- 66
- (i) When the Riders have weighed in to the satisfaction of the Clerk of the Scales at the Correct Weight except as under Rule 64(v) and Rule 65(iii) the Stewards shall authorise "weighed in" to be announced; provided that they shall not authorise this until:
    - (a) Any objection which may have been lodged under Rule 77(iv) has been decided, and;
    - (b) A Decision in an enquiry under Rule 78(iii) has been made.
  - (ii) No objection on any grounds other than those mentioned in Rule 77 shall be entertained prior to the "weighed in" announcement.
  - (iii) After the "weighed in" announcement, no alteration shall be made to the finishing order of the winner and placed Horses on the number board.

## **RUNNING**

- 67 The Stewards may decide that Horses must be ridden in front of the stand before going to post and the Stewards shall decide the distance to be traversed. If any Horse is not ridden in front of the stand, the Stewards shall hold an enquiry as to whether the Rider made reasonable endeavours to comply with this Rule.
- 67A The Trainer of a Horse and/or the Trainer's representative must ensure that where a Horse is engaged to run in any Race or Trial, the correct Horse:
- (i) Is brought to the course;
  - (ii) Is presented to start in the relevant Race or Trial;
  - (iii) Starts in the relevant Race or Trial.
- 67B A Trainer and/or the Trainer's representative who fails to comply with ERA Rule 67A commits an Offence and may be Penalised.

- 68
- (i) All Horses must be on Course at least sixty (60) minutes prior to their respective Races and must stay on Course for thirty (30) minutes after the running of their Race or as otherwise directed by the Stewards.
  - (ii) Every Horse which runs in a Race shall run on its merits.
  - (iii) The Rider of every Horse shall take all reasonable and permissible measures throughout the Race, however it develops, to ensure his Horse is given full opportunity to win or obtain the best possible placing in the field.
  - (iv) Any Person who, in the opinion of the Stewards, breaches or is party to a breach of any portion of this Rule shall be liable for Penalty.
- 69
- (i) Any Rider who, in the opinion of the Stewards, rides in a careless, reckless, dangerous, improper or foul manner, shall be guilty of an Offence.
  - (ii) Any Rider who, in the opinion of the Stewards, rides in an incompetent manner, shall be guilty of an Offence.
  - (iii) Any Rider who fails to ride his mount out to or approaching the end of the Race to the satisfaction of the Stewards shall be guilty of an Offence.
  - (iv) Any Rider who makes any celebratory gesture prior to his mount passing the winning post may be penalised.
- 69A
- (i) Only padded whips of a design and specifications approved by the Stewards may be carried in Races and Official Trials. Padded whips must conform to the following:
    - (a) Maximum length of whip not to exceed 70cm.
    - (b) Minimum diameter not to exceed 2.5cm.
    - (c) No binding within 18cms of end of whip.
    - (d) The overall weight of the whip must not exceed 160gms.
    - (e) The padded segment of the whip shall consist of a material approved by Stewards. Padded whips must be waterproof.
    - (f) Leather pads are not permitted.
  - (ii) Every whip must be in a satisfactory condition and must not be modified in any way.
  - (iii) The Stewards may confiscate any whip which in their opinion is not in a satisfactory condition or has been modified.
  - (iv) Any Rider who has been found guilty of a breach of this Sub-Rule may be penalised.
- 69B A Rider shall be liable to Penalty if, in the opinion of the Stewards, he uses his whip:
- (a) to excess, or
  - (b) in an improper manner, or
  - (c) in the following manner:
    - (i) Hitting of the Horse in front of the saddle while the whip is held in the forehand.
    - (ii) Continued hitting of a Horse which is clearly out of contention of winning or being placed.
    - (iii) Unnecessary hitting of a Horse that clearly has its Race won or has obtained its maximum placing.
    - (iv) Hitting of a Horse after the winning post has been reached.

- (v) Intentional hitting of a Horse other than down the shoulder or on the hind quarters.
    - (vi) Hitting of a Horse with excessive force.
    - (vii) Continuing to hit a Horse without having given it a chance to respond
    - (viii) Hitting a Horse with the whip arm extended above shoulder height.
  - (d) If the Stewards are satisfied that riding Instructions given by Trainers or Owners have contributed to a breach of the Rule, then such Persons shall be liable to disciplinary action in accordance with these Rules.
  - (e) For the purposes of this Rule “to excess” is defined as more than 12 strikes of the whip during a Race regardless whether the whip is used in a forehand or backhand manner. Provided that, this is subject to change as directed by the Stewards.
- 69C (a) The Rider of a Horse in a Race or Official Trial must ease down the Horse from the Race or Official Trial immediately where;
- (i) The Horse has fallen;
  - (ii) The Horse is not in contention;
  - (iii) The Horse is distressed and/or fatigued;
  - (iv) The Horse is lame or injured; or
  - (v) In a circumstance where it would be contrary to the Horse’s welfare to continue to finish the race.
- (b) If a Rider of a Horse fails to ease down the Horse in accordance with ERA Rule 69C(a);
- (i) The Rider may be penalised;
  - (ii) The Horse may be deemed to have failed to finish the Race or Official Trial.
- 70
- (i) A Horse may, on objection under Rule 77(iv), be subject to Disqualification if its Rider, by foul riding, has jeopardised the chances of success of any other Horse in the Race.
  - (ii) A Horse is liable, on an objection under Rule 77(iv), to Disqualification or having its placing in the Race altered (subject to the provisions of Rule 82):
    - (a) If it or its Rider jostles another Horse or Rider; or
    - (b) If he crosses or interferes with another Horse in any part of the Race so as to affect that or any other Horse’s chance.
- 71
- (i) If a Race has been run by all the Horses at the wrong weights, or over the wrong Course, or if the Judge or his authorised substitute is not in the box at the time the Horses pass the winning post, or if the Race has been started in front of the starting stalls or post, or if the Starter has declared a false start and the recall flag is not raised or the false start siren is not activated, the Stewards shall order the Race to be run again the same day if practicable, but if otherwise, it shall be abandoned and declared void.
  - (ii) If no qualified Horse covers the Course in accordance with these Rules, the Race may be declared void.
  - (iii) The Stewards may declare any Race void or may order any Race to be re-started or re-run.

- 72A (i) The Trainer or other Person in charge of a Horse shall report to the Stewards as soon as practicable any condition or other matter which may affect the running of their Horse in a Race.
- (ii) The Trainer and/or Jockey shall report to the Stewards anything which might have affected the running of the Horse in a Race.
- (iii) In the event of anything that might have any bearing on the past or future running of a Horse comes to the notice of the Trainer of such Horse, the Trainer shall report the matter to the Stewards as soon as practicable.
- (iv) The Trainer and/or Rider of a Horse which has competed in a Race shall immediately after such Race report to the Stewards any loss or breakage of gear, or any other unusual happening or other occurrence relating to the Horse during the running Race.

## **WALKING OVER**

- 72B It shall not be necessary for a Horse to “walk over” the entire Course and if only one Horse goes to post, that Horse shall be ridden past the Judge's box and shall be deemed the winner.

## **DEAD-HEATS**

- 73 When Horses run a dead-heat for first or any lower place, the Owners shall divide any prizes as stipulated in Rule 74 and the dead-heat shall not be run off, but on a dead-heat for a Match, the Match is off.
- 74 When two Horses run a dead-heat for first place, all prizes to which the first and second Horses would have been entitled shall be divided equally between them and this principle shall be observed in dividing prizes whatever the number of dead-heaters and whatever the place for which the dead-heat is run. Each Horse that divides a prize for first shall be deemed a winner.
- 75 When a dead-heat is run for second place or minor placings and an objection is made to the winner or other placed Horses by one of the dead-heaters and sustained, the Horses which ran the dead-heat shall be deemed to have run a dead-heat for first, second, third or fourth place as applicable.
- 76 If the dividing Owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the question shall be determined by lot by the Stewards who shall decide what sum of money shall be paid by the Owner who takes the cup or other indivisible prize to the other Owner or Owners.

## DISPUTES AND OBJECTIONS

- 77
- (i) If an objection to a Horse engaged in a Race be made not later than half past ten in the morning of the day of the Race the Stewards may require its qualification to be proved before the Race and in default of such proof being given to their satisfaction, they may declare it subject to Disqualification.
  - (ii) An objection to the distance of a Course Officially designated must be made before a Race.
  - (iii) An objection to the Decision of the Clerk of the Scales must be made at once.
  - (iv)
    - (a) An objection to a Horse on the grounds of interference, or any act on the part of his Rider, or of his not having run a proper Course, or of the Race having been run on a wrong Course, or of any other matter occurring in the Race, shall be made to the Clerk of the Scales or the Stewards before the Riders of all placed runners have weighed in.
    - (b) An objection on the ground that the Rider did not present himself to weigh in or that he could not draw the Correct Weight except as provided under Rule 64(v) and 65(iii) shall be lodged before the “weighed in” announcement is made.
    - (c) No objection on any other ground than these shall be heard before the “weighed in” announcement is made.
  - (v) An objection on any other ground than those laid down in Rule 77(iv) must be received within 14 days of the conclusion of a meeting.
  - (vi) In cases of fraud or wilful mis-statement, there shall be no limit to the time for objecting, provided the ERA are satisfied that there has been no unnecessary delay on the part of the objector. Such objection shall not be heard until the announcement under Rule 66 has been made
  - (vii) Nothing in this Rule shall restrict the operation at any time of Rules 3, 5 and 8.
- 78
- (i) Any objection shall be lodged by the Rider, Trainer or Owner or Authorised Agent of a Horse engaged in the Race.
    - (a) In cases coming under Rule 77(iv) it must be made to a Steward or the Clerk of the Scales.
    - (b) In other cases it must be made to the ERA.
  - (ii) An objection, made under the provisions of these Rules may be made without deposit. In the event of the Stewards deciding that an objection is frivolous or vexatious, it shall be in the power of the Stewards to fine the objector.
  - (iii) An objection may also be made by a Steward of the meeting in his Official capacity.
  - (iv) An objection cannot be withdrawn without leave of the Stewards.
- 79
- (i) Every objection under Rule 77(iv) shall be decided by the Stewards.
  - (ii) Every other objection shall be decided by the ERA or by such other body or panel delegated pursuant to Rule 3(xix)

- 80 No Horse shall be subject to Disqualification on account of any error in its Entry application but the ERA may impose fines upon or otherwise deal with any Persons responsible for such errors.
- 81 Pending the determination of an objection, any prize which the Horse the subject of the objection may have won or may win in the Race, shall be withheld until the objection is determined and any forfeit payable by the Owner of any other Horse shall be paid to and held by the ERA for the Person who may be entitled to it.
- 82 If an objection by the connections of a placed Horse against a Horse which has won or been placed in a Race be declared valid, such Horse shall be placed in a finishing position behind the Horse whose connections have successfully objected. Other placed Horses shall take positions accordingly.
- 82B In determining an objection on the grounds of interference or any act on the part of its Rider under these Rules, the Stewards shall alter a Horse's placing, if they are satisfied that the Horse interfered with would have finished in front of the Horse objected against had such interference or act not occurred

## APPEALS

- 83 (i) At or immediately before the start of each Season the ERA shall appoint not less than three permanent members, to constitute the ERA Appeal Panel, to hear and determine any Appeals in accordance with the Rules. The ERA shall appoint one of the permanent members of the Appeal Panel, as the chairman of the Appeal Panel.
- (ii) If any of the permanent members of the Appeal Panel (including the chairman of the Appeal Panel) is, for any reason, unavailable when an Appeal which, in accordance with these Rules, requires to be heard, the chairman of the Appeal Panel shall appoint an additional member of the Appeal Panel to hear and assist in determining that Appeal. Any person so appointed must be a qualified lawyer, must not own or have an interest in any racehorse nor hold office in any Racing Authority or Course.
- (iii) In the event that the chairman of the Appeal Panel is unavailable to hear the Appeal, the chairman of the Appeal Panel shall nominate one of the other permanent members of the Appeal Panel then holding office to act as the chairman of the Appeal Panel for the purpose of hearing and determining that Appeal.
- (iv) An Appeal shall be heard by the three members of the Appeal Panel (to include, where applicable, any replacement(s) appointed pursuant to these Rules for the purposes of hearing and determining that Appeal) except in the circumstances described in Rule 84(iv).
- (v) In hearing any Appeal, the Appeal Panel shall determine its members' costs and expenses in relation to the Appeal and make an order as to the liability of any party to that Appeal for all or part of the costs and expenses.

- 84
- (i) An Appeal may be made by any Person who is the subject of a Penalty or who is aggrieved by a Decision of the ERA.
  - (ii) Where two or more Persons appeal against a Decision or Penalty then the Appeal shall be considered to be an Appeal by a single party and the appellants shall appoint one person to represent the appellants and may appoint not more than one legal counsel. References in these Rules to an appellant shall be deemed to include more than one appellant.
  - (iii) There shall be no right of appeal against a Decision in the following circumstances:
    - (a) a disability imposed on a Horse that provides that such Horse shall pass a specified trial or Test or examination.
    - (b) the eligibility of a Horse to run in any Race.
    - (c) a Declaration of a Horse as a non-runner.
  - (iv) An Appeal may, if requested by the appellant in accordance with Rule 84(v) and approved by the chairman of the Appeal Panel in accordance with this Rule 84(iv), be heard:
    - (a) by the chairman of the Appeal Panel alone (or if the chairman of the Appeal Panel is unavailable to hear and determine that Appeal, by one of the other permanent members, for the time being, of the Appeal Panel who is nominated for the purposes of that Appeal by the chairman of the Appeal Panel in accordance with Rule 83(iii)), if the appellant has pleaded guilty to the specific charge or charges made against him; and
    - (b) by the chairman of the Appeal Panel (or the chairman of the Appeal Panel's replacement appointed in accordance with Rule 83(iii)) and one other permanent member, for the time being, of the Appeal Panel (or any replacement appointed in accordance with Rule 83(iii)), if the Appeal is being made against a fine or Suspension.
- The chairman of the Appeal Panel has the authority to determine the number of members required for sub-rules (a) and (b) of this Rule and in so doing, may exercise discretion to deviate from any request made by the appellant pursuant to Rule 84(v).
- (v) Notice of an Appeal must be given to the ERA within 7 days after notification of the Decision appealed against, and be accompanied by a deposit of AED 5,000, such deposit to be refundable in whole or in part or forfeited as the Appeal Panel orders. A notice of Appeal must:
    - (a) include the name and address of the appellant;
    - (b) identify and state the particular Decision that is the subject of the Appeal;
    - (c) state the grounds of Appeal, and detail the injustice that would be consequential upon allowing the Decision to stand;
    - (d) detail the facts upon which the Appeal is based;

- (e) if new evidence is to be presented, include full and complete details of the new evidence, request leave to present that new evidence including any video recording that the appellant wishes the Appeal Panel to view and explain why that evidence was not presented at the hearing at which the Decision was made;
  - (f) provide the full name and contact details (including an email address) of any representative of the appellant who the appellant wants to attend the hearing of the Appeal and legal counsel, if any; who will represent the appellant at the hearing of the Appeal; and
  - (g) should the appellant wish to do so in the circumstances described in Rule 84(iv), request that a reduced number of Appeal Panel members should hear and determine the Appeal.
- (vi) Once the Notice of Appeal has been given to the ERA, the appellant will not be permitted to change the appellant's pleas without the prior consent of the Appeal Panel.
- (vii) Once the Notice of Appeal has been given to the ERA, the appellant may apply to the Appeal Panel for leave to withdraw the Appeal subject to an award of costs, as determined by the Appeal Panel, against the appellant.
- (viii) The ERA shall, within 7 days of receiving an Appeal, nominate an appropriate individual or individuals to represent the ERA at the hearing of the Appeal which may include, should it wish to do so, legal counsel and shall notify the appellant and the Secretary of those nominees and of any subsequent change in the nomination.
- (ix) The ERA shall serve a written reply to the Appeal (the "Reply") on the appellant and the Secretary as soon as practicable, but not later than 7 days after the date on which the Appeal is lodged. The Reply must include any application that the ERA wishes to make for leave to present new evidence and state whether the ERA requires the Appeal Panel to view any visual recording at the hearing of the Appeal.
- (x) The ERA, the Stewards and the appellant shall be entitled to make oral or written submissions (or both) to the Appeal Panel.
- (xi) The Appeal Panel shall conduct Appeal hearings in such manner as it deems fit and receive such documentary, video records, oral submissions and evidence as it considers will be fair to all parties. The member or members of the Appeal Panel hearing the Appeal shall determine any questions relating to:
  - (a) the jurisdiction of the Appeal Panel and
  - (b) the admissibility of evidence and
  - (c) its procedures.
- (xii) In the case of an Appeal that is to be heard by three members of the Appeal Panel, the Secretary shall prepare five sets of documents identically bundled and paginated, three of which shall be provided to the Appeal Panel and the others to the appellant and the ERA at least seven days before the hearing of the Appeal and which shall comprise the following (or their equivalent):

- (a) any documents or other evidence referred to at the original hearing relevant to the Appeal;
- (b) any transcript of the original hearing or extract therefrom of matters relevant to the Appeal;
- (c) any notice published by the ERA concerning the Decision being appealed;
- (d) any new evidence;
- (e) the notice of Appeal;
- (f) the Reply.

The Secretary shall prepare three sets of documents identically bundled and paginated in the case of an Appeal that is to be heard, in accordance with those Rules, by one member of the Appeal Panel, one of which shall be provided to the Appeal Panel and the others to the appellant and the ERA at least seven days before the hearing of the Appeal comprising the same documents as are detailed in this Rule 84(xii).

- (xiii) The Secretary shall notify the chairman of the Appeal Panel within 7 days after receiving a notice of Appeal containing a request from the appellant made in accordance with Rule 84(v)(g).
- (xiv) The chairman of the Appeal Panel shall notify the ERA and the Appellant no later than 7 days after receiving a notice from the Secretary in accordance with Rule 84(x) of the number of Appeal Panel members that will hear and determine the Appeal.
- (xv) Reasonable notice shall be given by the Appeal Panel of the date, time and venue of the hearing of the Appeal. The appellant and the ERA and the Stewards shall respectively be given the opportunity to respond to the other's submissions in support of their respective applications, if any, to present new evidence. Subject to any variation that the Appeal Panel determines to be appropriate, the following procedures will apply at Appeal hearings:
  - (a) the appellant will summarise its case;
  - (b) the ERA will summarise its case;
  - (c) the appellant will present new evidence to the extent permitted;
  - (d) the ERA will present new evidence to the extent permitted;
  - (e) where there is a witness or witnesses, the Appeal Panel, the ERA and the appellant shall have an opportunity to question them;
  - (f) the ERA will make its closing submissions orally; and
  - (g) the appellant will make the appellant's final submission orally.
- (xvi) The Appeal Panel may proceed to make a Decision on the Appeal in the absence of the appellant, if the appellant elects not to appear before the Appeal Panel or in any other circumstances the Appeal Panel considers appropriate
- (xvii) The Appeal Panel may, in the case of non-compliance by a party with an order, requirement or Instruction given by the Appeal Panel take any action it considers appropriate, including making an award of costs against the non-compliant party.

- (xviii) The Appeal Panel shall allow an Appeal if satisfied that the Decision appealed against was wrong.

- 85 (i) In addition to allowing or dismissing an Appeal the Appeal Panel may:
- (a) exercise any power which the Stewards or the ERA could have exercised;
  - (b) remit the matter back to the Stewards or the ERA for re-hearing or reconsideration;
  - (c) increase or decrease any Penalty originally imposed;
  - (d) make such further or other order as it considers appropriate, to give effect to its Decision.

- (ii) A Decision, order, requirement or Instruction of the Appeal Panel shall be determined:

- (a) by a majority vote of its members in any Appeal hearing that is attended by all of the Appeal Panel members;
- (b) by the single member of the Appeal Panel who attends an Appeal hearing in which it is decided, in accordance with these Rules, that the Appeal that is the subject of that hearing should be heard and determined by a single member of the Appeal Panel; and
- (c) unanimously by the two members of the Appeal Panel who attended an Appeal hearing in which it is decided, in accordance with these Rules, that the Appeal that is the subject of that hearing should be heard and determined by two members of the Appeal Panel unless the two members of the Appeal Panel are deadlocked in which case the chairman of the Appeal Panel who attends that hearing shall have the casting vote.

The Decision so reached, in each case, shall be the Decision of the Appeal Panel as a whole and neither the notification of a Decision nor any written reasons subsequently given shall include any reference to a minority or dissenting view.

- (iii) A Decision of the Appeal Panel shall be final and binding. Should an Appeal Panel be unable to reach a Decision the ERA shall so certify and it shall convene a new Appeal Panel consisting of different members who shall proceed to hear the matter as soon as may be practicable.
- (iv) The Appeal Panel shall have the power to make such order as to the costs of the original hearing and the Appeal as it considers appropriate.
- (v) The Appeal Panel shall announce its Decision to the parties as soon as practicable in such a manner as it considers appropriate, and unless it directs otherwise, its Decision shall come into effect on the day after its announcement.
- (vi) As soon as practicable after the hearing of the Appeal, the Appeal Panel shall publish a written statement of its Decision, which shall state:
  - (a) the name of the appellant(s), the Decision(s) appealed against and the grounds of Appeal;
  - (b) whether or not the Appeal is allowed; and

(c) the order(s) of the Appeal Panel.

- (vii) The written statement shall be signed and dated by the chairman of the Appeal Panel and be the conclusive record of the Decision.
- (viii) If requested by the appellant or the ERA within 7 days after the date of the written statement referred to in Rule 85(vi), the Appeal Panel must, within a reasonable period after deciding an Appeal, set out in writing its Decision and the reasons for that Decision, which shall be signed by the chairman of the Appeal Panel. Any associated costs shall be borne by the party requesting the reasons for the Decision.
- (ix) The ERA may publish any document specified in this Rule 85 in such manner it thinks appropriate.
- (x) Decisions of the Appeal Panel shall be final and binding on the parties to the Appeal and subject to no further Appeal.

## DISQUALIFICATION OF HORSES

- 86
- (i) Without prejudice to the powers of the Stewards and to any other powers conferred on the ERA, any Horse which has been the subject of a fraudulent practice may, at the discretion of the ERA, be disqualified for such time and for such Races as it shall determine.
  - (ii) Where any declared runner has been the subject of an examination under Rules 8(vi) and or (vii) and has been found to have received any Prohibited Substance, the Horse shall be disqualified from that Race and from other Races as the ERA determines.
- 87 A Horse is not qualified to be entered or start in any Race:
- (i) If it has run at any Race meeting which is not recognised by the ERA.
  - (ii) If and so long as it is in the Ownership or part Ownership of a Disqualified Person or so long as any Disqualified Person has any interest in such Horse's winnings in such Race.
  - (iii) If it does not possess at the time of Entry or starting, the qualifications imposed by the conditions of the Race or by the provisions of Rule 44(iii).
  - (iv) If it has been declared disqualified by the ERA.
- 88 A Horse is not qualified to start in any Race:
- (i) Unless it is duly entered for the same.
  - (ii) Unless it has been declared a runner under Rule 51.
  - (iii) Unless trained by a Trainer or a Trainer Licenced by any Recognised Turf Authority listed.
  - (iv) Unless it possesses at the time of Entry, the qualifications pertaining to the conditions of the Race.
  - (v) If it is more than 120 days pregnant.
- 89 If a Horse, which is not qualified according to Rule 86, 87 or 88 or the conditions as set down for a Race, be entered in, or run in any Race, it shall be subject to Disqualification and the Owner and/or Trainer found to be at fault, shall be liable for a Penalty.

## DISTRIBUTION OF PRIZES

- 90 In all Races, the entrance money, and any other fees shall go towards the Prize Money for that Race unless otherwise specified in the conditions of the Race.
- 91 If the winner has walked over or no Horse has been placed second or in any lower place, the Prize Money provided by the conditions for the Horse placed second or in any lower place shall not be distributed. In these circumstances, the winner will be entitled to Prize Money as advertised for first place only.
- 92 When a cup is advertised to be run, it shall be given in the event of a walk-over.
- 93A The following deductions shall be made from the amount won by the winner and lesser placed Horses where Prize Money (including any bonus) is offered:
- (i) 10% for the Trainer of the Horse or as laid down in Rule 93C
  - (ii) For the Rider who rode the Horse as laid down in Rule 36.
  - (iii) For the stable staff of the stable at which the Horse has been trained 5% or as laid down in Rule 93C.

Provided that, if a Horse wins any bonus monies, such bonus shall be divided according to (i), (ii) and (iii). Further, if in winning such bonus, a Horse has been ridden by different Riders and /or trained by different Trainers/stables, such bonus shall be divided amongst those Persons/stables in a direct relationship to the number (and value) of Races in which they were involved.

- 93B Any subsidy, rebate or appearance money paid to any Horse for finishing in any placing shall not be deemed as Prize Money for the purposes of this Rule.
- 93C Where a Trainer or stable staff are employed by a Course to undertake Training and there is a written agreement lodged and approved by the ERA, the allocated 10% for the Trainer and 5% for the stable staff shall be credited to the Course for disbursement at the discretion of the Course.
- 94 The Registry Office shall render an account within fifteen days after the last day of the meeting and pay over all stakes and added money to the Persons entitled provided clearance to do so has been provided by the Stewards.
- 94B In any case where a prize or part of a prize has been paid or awarded to a Person who is subsequently found by the Stewards not to be entitled to it by reason of the disqualification of that person's Horse or otherwise, that prize must, on demand, be repaid or returned by the recipient to the ERA.

## PROHIBITED SUBSTANCES, OFFENCES AND PENALTIES

95A The following substances are declared as Prohibited Substances:

(1) substances capable at any time of causing either directly or indirectly an action or effect, or both an action and effect, within one or more of the following mammalian body systems:

- the nervous system
- the cardiovascular system
- the respiratory system
- the digestive system
- the musculo-skeletal system
- the endocrine system
- the urinary system
- the reproductive system
- the blood system
- the immune system, except for licensed vaccines against infectious agents.

(2) Metabolites, artifacts (and isomers of Prohibited Substances), endocrine secretions (and their synthetic counterparts), masking agents, oxygen carriers and agents that are capable, at any time, of directly or indirectly causing an action or effect on, and/or manipulating, gene expression in any mammalian body, including but not limited to gene editing agents with the capacity to alter genome sequences and/or the transcriptional, post-transcriptional or epigenetic regulation of gene expression.

95AA The ERA gives notice that the following substances are also deemed to be Prohibited Substances when present above these thresholds:

- \* 0.01 microgram free prednisolone per millilitre in urine
- \* Total carbon dioxide - 36 millimoles per litre in plasma
- \* Arsenic - 0.3 microgram total arsenic per millilitre in urine, or 0.015 micrograms total arsenic per millilitre in plasma
- \* Cobalt at a mass concentration of 100 micrograms per litre in urine or 25 micrograms per litre in plasma
- \* Cortisol - 1 microgram per millilitre of urine
- \* Boldenone - 0.015 micrograms free and conjugated boldenone per millilitre in urine from male Horses (other than geldings).
- \* Dimethyl sulphoxide - 15 micrograms per millilitre in urine or 1 microgram per millilitre in plasma
- \* Male Horses other than geldings, 5 $\alpha$ -estrane-3 $\beta$ , 17 $\alpha$ -diol in urine (including both the free substance and that liberated from its conjugates) at a mass concentration equal to or less than that of the mass of 5(10)-estrane-3 $\beta$ , 17 $\alpha$ -diol in urine (including both the free substance and that liberated from its conjugates).
- \* Hydrocortisone - 1 microgram hydrocortisone per millilitre in urine
- \* Methoxytyramine - 4 micrograms free and conjugated 3-methoxytyramine per millilitre in urine

- \* Salicylic acid - 750 micrograms salicylic acid per millilitre in urine or 6.5 microgrammes per millilitre in plasma
- \* Testosterone - 0.02 microgram free and conjugated Testosterone per millilitre in urine from geldings, or 0.055 microgram free and conjugated Testosterone per millilitre in urine from fillies and mares (unless in foal)
- \* Theobromine - 2 micrograms theobromine per millilitre in urine
- \* Only levels above the thresholds shall be taken as positive

- 95AAA
1. Any Person shall be liable to Penalty if:
    - (a) they administer or cause to be administered any Prohibited Substance to a Horse for the purpose of affecting its performance in a Race, or any Test.
    - (b) they administer or cause to be administered any Prohibited Substance which is detected in any Sample taken pursuant to Rule 8(vii) from any Horse prior to or following any Race, or Test.
  2. Any Trainer or other Person in charge of a Horse, which is presented to Race or perform a Test, or has Raced or performed a Test which is found (upon analysis) to have had administered to it a Prohibited Substance, shall be liable to a Penalty.
  3. The Stewards may direct that Samples taken from a Horse pursuant ERA Rule 8(vii) be stored, in whole or in part and shall be disposed of only as directed.
  4. Notwithstanding any other provision of the Rules, the Stewards may direct that a stored Sample, in whole or in part, be submitted or resubmitted for any Test to determine whether any Prohibited Substance was at the relevant time present in the system of the Horse from which the Sample was taken.
  5. For the avoidance of doubt, when a Prohibited Substance is detected in a stored Sample submitted or resubmitted for Testing in accordance with Sub-Rule (4), the provisions of Rules 95AAA 1 and 2 shall apply.
  6. A Person shall not attempt to stomach tube, or stomach tube (nasogastric tube), a Horse nominated for a Race or event within one (1) Clear Day of the commencement of the Race or event.
  7. A Person shall not attempt to use, or use an atomiser, face mask or other device for the administration of a Prohibited Substance to a Horse nominated for a Race or event within one (1) Clear Day of the commencement of the Race or event.

8. The use of physical treatments such as acupuncture, ultra sound therapy, laser therapies and hyperbaric oxygen therapies are prohibited within one (1) Clear Day of the commencement of the Race or event.
  9.
    - (i) Notwithstanding the provisions of Rule 95AAA1. no Person shall administer or cause to be administered any Medication to a Horse on Race day prior to such Horse running in a Race.
    - (ii) Notwithstanding the provisions of Rule 95AAA 1. No Person shall administer, attempt or cause to be administered or use any medication by way of intra-articular (joint) injection to any Horse entered for a Race or Trial within eight (8) Clear Days of the commencement of the Race or Trial.
  10. The Stewards may order the withdrawal from a Race any Horse that has received any Medication in contravention of Sub-Rule 9.
  - 10A. Subsequent to a Horse competing in a Race, no Person shall administer, or cause to be administered any Medication to that Horse on any part of the racecourse where that Race has been run without first receiving special dispensation from the ERA Regulatory Veterinary Officer or the ERA Stewards.
  11. Where it is established subsequent to a Horse competing in a Race, Test or Official Trial that the Horse had Raced in that Race or participated in that Test or trial and had received Medication, it may be disqualified from the Race or trial, or may be deemed to have failed that Test.
  12. For the purpose of this Rule, Medication means any treatment with drugs, Prohibited Substances or other substances.
- 95AAAA (i) The substances set out below are exempt from the Prohibited Substances set out in Rule 95A and 95 AA.
1. Cimetidine
  2. Omeprazole
  3. Ranitidine
- (ii) Trainers shall not administer the substances defined in Rule 95AAAA on the day of the race. Any Trainer found in breach of this Rule shall be liable to a Penalty.
- 95A2 (1) Genetic therapy;  
A genetic therapy is defined as including any therapy, method or process which involves the use or administration of;
- (i) Oligomers or polymers of nucleic
  - (ii) Nucleic acid analogues
  - (iii) Genetically modified cells
  - (iv) Gene editing agents which are capable, at any time, of directly or indirectly causing an action or effect on, and/or manipulating, gene expression in any mammalian body, including but not limited to gene editing agents with the capacity to alter genome sequences and/or the

transcriptional post-transcriptional or epigenetic regulation of gene expression.

For the avoidance of doubt, the following are not defined as a Genetic Therapy for the purpose of the ERA;

- The use or administration of autologous conditioned serum or “platelet-rich plasma” treatments which do not involve the transfer of whole cells/DNA.
- (2) Gene editing;  
Gene editing is defined as any process or treatment in respect of a Horse which involves the insertion, deletion and/or replacement of DNA at a specific site in the genome of the Horse.
- (3) Genome editing;  
Genome editing is defined as any process or treatment in respect of a Horse which involves the insertion, deletion and/or replacement of DNA in the genome of the Horse.
- (4) Prohibition on use or administration of genetic therapy;
  - (a) Except as provided by the ERA, the use or administration of a genetic therapy on or to a Horse is prohibited at all times.
  - (b) Exempt genetic therapy;

A genetic therapy may be used or administered to a specific Horse with the express prior approval from the ERA if that genetic therapy is used to treat an injury or disorder formally diagnosed by a Veterinarian, and;

- (i) Is not capable of modifying a Horse’s heritable genome;
  - (ii) Does not pose a threat to the welfare of the Horse;
  - (iii) Does not pose a threat to the integrity of racing, either by having the potential to enhance or harm the performance of a Horse in a Race.
- (c) Control and recording;  
The Owner or Trainer has the responsibility to inform and obtain the approval from the ERA of any intended genetic therapy to a Horse prior to such treatment, irrespective of whether it is to be administered before, in or out of training. The Owner or Trainer must maintain a full and accurate records of all such therapies – which must be kept for a minimum of five (5) years and be readily available for inspection by the ERA when requested
- (5) Prohibition on gene editing and genome editing;
  - (a) The use on, or administration or application to, any Horse of gene editing or genome editing is prohibited at all times.
- (6) Any Horse, who in the opinion of the Stewards, has been the recipient of genetic therapy, gene editing or genome editing (except provided for under ERA Rule 95A2(1)(b)) is ineligible to be registered, trained or race in the UAE.
- (7) Any Horse, who in the opinion of the Stewards, has been the recipient of genetic therapy, gene editing or genome editing (except provided for under ERA Rule 95A2(1)(b)) shall be disqualified from such races where Stewards are of the opinion that that horse competed subsequent to any treatment.

- (8) Any Trainer or person in charge of a horse, who in the opinion of the Stewards, has been the recipient of Genetic Therapy, Gene Editing or Genome Editing (except provided for under ERA Rule 95A2(1)(b)) may be penalised.

## Out of Competition Testing

- 95B 1. When a Sample taken at any time from a Horse evidences any of those substances referred to in Rule 95B2 the Trainer, Authorised Agent, and any other Person who was in charge of or having custody of the Horse or having possession of such substance shall be liable to Penalty.
2. (a) (i) erythropoiesis-stimulating agents, including but not limited to erythropoietin (EPO), epoetin alfa, epoetin beta, darbepoetin alfa, and methoxy polyethylene glycol - epoetin beta (Mircera),  
(ii) insulins,  
(iii) growth hormones,  
(iv) insulin like growth factor-1,  
(v) anabolic steroids,  
(vi) synthetic proteins and peptides and synthetic analogues of endogenous proteins and peptides not registered for veterinary use,  
(vii) selective androgen receptor modulators (SARMS),  
(viii) selective estrogen receptor modulators (SERMS),  
(ix) selective opiate receptor modulator (SORMS),  
(x) other agents that directly or indirectly affect or manipulate gene expression,  
(xi) oxygen carriers including but not limited to perfluorochemicals, efaproxiral and modified hemoglobin products,  
(xii) venoms of any species or derivatives thereof.  
(xiii) Any substance not addressed by any of the proceeding classes of substances, or any substance not universally recognised by veterinary regulatory authorities as valid veterinary therapeutic treatment.
- (b) Metabolites, artifacts and isomers of the substances specified in paragraph (a).
- (c) Any other substance that the ERA may, from time to time, determine and which is published in the Racing Calendar.
- 95C (i) If in exercising the power under ERA Rule 8A the Stewards identify and/or take possession of any quantity of a substance or preparation that could give rise to an Offence under ERA Rule 95B if administered to a Horse at any time, then any Person in possession of such substance shall be guilty of an Offence and liable to Penalty.
- (ii) For the purposes of this Rule, if any substance or preparation is found at any Premises used in relation to the Training or racing of Horses, then any Owner, Trainer or Person who owns, trains, Races or is in charge of Horses at those Premises is deemed to have the drug in their possession.

## SAMPLES

- 95D
- (i) Samples taken from Horses in pursuance of the powers conferred on the Stewards by Rule 8(vii) shall be analysed by an Accredited Racing Laboratory approved by the ERA.
  - (ii) Upon the detection by an Accredited Racing Laboratory of a Prohibited Substance in a Sample from a Horse, such laboratory shall notify its finding to the Stewards, who shall then notify the Trainer of the Horse of such finding and give him the option to have the reserve portion of the Sample Tested at another ERA-approved Accredited Racing Laboratory. The Trainer will have 3 days to notify the Stewards of such requirement. A refusal by the Trainer to Test the reserve portion of the Sample shall not prevent the Stewards from having the reserve portion of the Sample Tested.
  - (iii) In the event of the other Accredited Racing Laboratory detecting the same Prohibited Substance in the reserve portion of the Sample, the certified findings of both accredited racing laboratories shall be conclusive evidence that a Prohibited Substance has been administered to the Horse from which the Sample was taken.
  - (iv) In the event that a confirmatory analysis is not requested, the findings of the Accredited Racing Laboratory shall be conclusive evidence that a Prohibited Substance had been administered to the Horse from which the Sample was taken.
  - (v) Notwithstanding anything contained in (i), (ii), (iii) and (iv) of this Rule 95D the Stewards may where Samples are to be analysed for the plasma total carbon dioxide concentration (TCO<sub>2</sub>) of a Horse send two Samples for immediate analysis to an Accredited Racing Laboratory in the UAE approved by the ERA in which case the two step procedure envisaged in (ii) above shall not apply.

## DISQUALIFICATION OF PERSONS

- 96 Any Person may be declared a Disqualified Person or otherwise penalised by the ERA in accordance with its powers under Rule 3 who:
- (i) Gives or offers, or promises, any bribe or inducement in any form to any Person having Official duties in relation to a Race or Horse, or to any Trainer, Rider, Authorised Agent or other Person having charge of, or access to any Horse.
  - (ii) Being a Person having Official duties in relation to a Race, or being a Trainer, Rider, Authorised Agent or other Person having charge of, or access to any Horse, accepts or offers to accept any bribe or inducement in any form.
  - (iii) Willfully enters or causes to be entered for any Race, or causes to start in any Race, a Horse which he knows or believes to be not qualified.
  - (iv) Gives, allows or causes a blood transfusion to be given to a Horse for the purpose of affecting its performance in a Race.

- (v) Is guilty of or conspires or attempts to conspire with any other Person who has been found guilty of any corrupt, fraudulent or unlawful practice in relation to racing in the UAE or any other country.
  - (vi) Is convicted of any criminal Offence in relation to racing in the UAE or any other country.
  - (vii) Uses, or has in his possession, any improper electric or electronic apparatus or any improper contrivance.
  - (viii) Has in his possession on a Race Course where a meeting is being conducted any Prohibited Substance, syringe, needle or other instrument which could be used to administer a Prohibited Substance to a Horse unless that Person shall have obtained the permission of the Stewards to be in possession of such, or satisfies them that such possession is for his Personal lawful use.
- 97      (i) Any Person on whom a Suspension or Disqualification has been imposed will remain a suspended or Disqualified Person under these Rules so long as the Suspension or Disqualification continues, unless the ERA declares otherwise.
- (ii) Any Person who acts in any Official capacity or enters, trains, or rides a Horse entered or running at any unrecognised meeting in the UAE is liable to be made a Disqualified Person by the ERA for such time as it thinks fit.
- 98      A Disqualified Person so long as his Disqualification lasts shall not be permitted, except with the consent of the ERA, within the UAE to:
- (i) Act as a Steward or Official at any recognised meeting.
  - (ii) Act as an Authorised Agent.
  - (iii) Enter, run, train or ride a Horse in any Race at any recognised meeting or ride in trials. If any Entry made by a Disqualified Person or a Person who has been Warned Off be mistakenly or inadvertently accepted, the same shall be void despite such inadvertent acceptance and the Horse shall not be qualified to be entered in or start a Race.
  - (iv) Enter any Race Course or Training track, stand or enclosure.
  - (v) Enter upon any Training complex or Training establishment of any Club or Licenced Person.
  - (vi) Participate in any manner in the racing industry in the UAE.
  - (vii) Race or have trained any Horse whether as full or part owner or lessee or otherwise.
  - (viii) Share in the winning of any Horse.
  - (ix) Except with the prior permission of the ERA be employed in a racing stable in any capacity.
- 98A      (i) The period of Disqualification or warning off of any Person, who is disqualified or Warned Off, who contravenes Rule 8, shall automatically be deemed to recommence as from the most recent date of such contravention and may also be subject to further Penalty.
- (ii) The provisions of Sub-Rule (i) shall apply to any Person to which ERA 98 applies, regardless of when such Penalty that gives rise to the application of the Rule was imposed.

## SUSPENSION OF A RIDER

99

- (i) A Penalty of Suspension imposed by the Stewards on a Rider, shall come into effect on the eighth day (the “Commencement Date”) after the date (the “Announcement Date”) on which the Suspension is announced, unless:
  - 1. the Rider waives the Rider’s right to appeal under these Rules;
  - 2. the Rider requests that the Suspension comes into effect on a date between the Announcement Date and the Commencement Date;
  - 3. the Stewards, in their absolute discretion, so decide having regard to the interests of racehorse connections who have engaged the Rider for future racing engagements; or
  - 4. the Stewards direct that the Suspension shall come into effect on a date which is after the Announcement Date but before the Commencement Date because the Stewards consider that an expedited Suspension is in the public interest.
- (ii) Suspensions will be given for a specific number of consecutive days. Suspensions will apply to Race meetings that are scheduled or available in the UAE during the course of the Suspension. If the whole or any part of a Suspension will apply outside the UAE Season, the Stewards may direct that the part of the Suspension that applies outside the UAE Season will cover dates of Race meetings scheduled or available in the home authority of the Rider or will cover dates of Race meetings scheduled at the commencement of the next UAE Season.
- (iii) A Rider who has received a Suspension that includes not more than one meeting may, at the discretion of the Stewards, have the Suspension deferred, if it coincides with a Group or Listed Race conducted in the UAE.
- (iv) A Penalty of Disqualification imposed on a Rider by the ERA will take immediate effect.

## MISCELLANEOUS, GENERAL

- 100 (i) No Person shall directly or indirectly, commit, aid, abet, procure or attempt to procure the commission of any Offence.
- (ii) No Person shall commit any violent, abusive or improper conduct on any land or Premises owned, used or controlled by the ERA.
- (iii) No Person shall act in a manner prejudicial to the integrity, proper conduct or good reputation of Horse racing in the UAE, whether or not such act is associated with Horse racing or constitutes a breach of any of these Rules.
- (iv) No Person shall, without the permission of the ERA, associate in connection with Horse racing in the UAE, with any Person known to be Warned Off or subject to Disqualification or known to have done any act likely to cause serious damage to the interests of Horse racing in the UAE, whether or not constituting a breach of the Rules.
- (v) No Person shall mistreat a Horse by way of any action, inaction, negligence or design. Any Person who allows such mistreatment to occur or continue through his action, inaction, negligence or design shall be considered to be in breach of these Rules.
- (vi) Any Person in breach of these Rules shall be liable to a Penalty.

- 100B In the interpretation of a Rule a construction that would promote the purpose or object underlying it, whether expressly stated or not or which would facilitate or extend its application, is to be preferred to a construction that would not promote that purpose or object or which would impede or restrict its application.

## **INSTRUCTIONS**

- 101 Every Licenced Person shall comply with all Instructions.

## **EUTHANASIA OF HORSE**

- 102 In the event of any Horse being so injured that its euthanasia in the opinion of the Stewards, a Veterinary Officer or other veterinary surgeon appointed by the Stewards is advisable in order to save unnecessary suffering, such Horse shall be euthanased by such Person as the Stewards or the relevant Veterinary Officer or veterinary surgeon consider suitable.

The Stewards may also order a post mortem examination by such Person or Persons as they think fit and/or Sample(s) to be taken from a Horse that has either died or has been euthanased on any land or Premises owned, used or controlled by the ERA.

# **PART 2**

## **INSTRUCTIONS**

# 1 SIZE OF FIELDS

Runners for any Race will be limited to a safe number to be decided by the ERA from time to time. Should the number of declared runners exceed the decided safety number, provided that the conditions of the said Race do not specify field preference, the field will be reduced in the following ways:

- (i) **Group, Listed, Prestige and other Conditions Races**  
Preference to run will be given to the highest handicap rated Horses with 4 points added (for balloting purposes only) to the ratings of fillies and mares, and, in Purebred Arabian races, to the ratings of UAE Bred horses (additional to the 4 points for fillies and mares). Where horses have raced but do not have an official rating, the ERA Handicapper may allocate an Assessment, used in place of an official rating for balloting purposes only. Subsequent to this, when two or more declared Horses are rated equally, preference to run shall be drawn by the conditions of Instruction 1(vii).
- (ii) **Handicaps**  
Preference to run in non-benchmark handicaps will be given to the highest handicap rated Horses. In Benchmark handicaps, the order of preference to run shall be:
  - (a) Highest handicap rated Horses from the stated Benchmark down to and including Horses rated 18 points below the Benchmark for Thoroughbreds and 14 points below the Benchmark for Purebred Arabians.
  - (b) Lowest handicap rated Horses above the Benchmark.
  - (c) Highest handicap rated Horses rated 19 points or more for Thoroughbreds and 15 points or more for Purebred Arabians below the Benchmark.When two or more declared Horses have identical handicap ratings preference to run shall be drawn by the conditions of Instruction 1(vii).
- (iii) **Never Won Two**  
Provided the conditions of said Race do not specify field preference, the order of Preference to run shall be:
  - (a) Horses with NOR that have won a race.
  - (b) Horses with NOR that have not raced.
  - (c) Horses with NOR that have been subject to ballot since their last run.
  - (d) Horses with NOR.
  - (e) Highest rated Horses with 4 points added (for elimination purposes only) to the ratings of fillies and mares and, in Purebred Arabian races, to the ratings of UAE Bred horses (additional to the 4 points added to fillies and mares).
  - (f) Further provided, without affecting the conditions above, when possible the field will be reduced in the following order:
    - (i) Any Horse which is in the same Ownership as a Horse/s which has been included in the field (this includes reserves), shall not be allotted a start to the exclusion of any Horse with no common Ownership in the Race.
    - (ii) Any Horse which is in the name of a Trainer that has a Horse/s which has been included in the field (this includes reserves), shall

not be allotted a start to the excluding of any Horse with no common Trainer in the Race.

(iii) Preference to run will be given to Horses that have been subject to a ballot since their last run.

(iv) To be drawn by lot in the presence of the ERA Stewards.

(iv) Maiden Races

Provided the conditions of said Race do not specify field preference, the order of Preference to run shall be:

(a) Horses with no Official rating (NOR) that have not Raced.

(b) Horses with NOR that have been subject to ballot since their last run.

(c) Horses with NOR.

(d) Highest rated Horses with 4 points added (for elimination purposes only) to the ratings of fillies and mares and, in Purebred Arabian races, 4 points added to the ratings of UAE Bred horses (additional to the 4 points added to fillies and mares).

(e) Further provided, without affecting the conditions above, when possible the field will be reduced in the following order:

(i) Any Horse which is in the same Ownership as a Horse/s which has been included in the field (this includes reserves), shall not be allotted a start to the exclusion of any Horse with no common Ownership in the Race.

(ii) Any Horse which is in the name of a Trainer that has a Horse/s which has been included in the field (this includes reserves), shall not be allotted a start to the excluding of any Horse with no common Trainer in the Race.

(iii) Preference to run will be given to Horses that have been subject to a ballot since their last run.

(iv) To be drawn by lot in the presence of the ERA Stewards.

(v) Dual or Multiple Declarations

(a) Should a Horse which is considered a declared Starter in more than one Race on the same or multiple Race days receive a start in its preferred Race, such Horse will be removed from all other Races for which it was declared.

(b) Should the declared Horse not receive a start in its preferred Race, such Horse will be left in its original position in the next preferred Race for which it is declared to start. If declared for further Races the provisions of subsection (a) of this Rule shall apply.

(vi) When a Race is divided, the division of Declarations for such divided Race shall be made in accordance with the following conditions.

(a) Horses originally declared with the same Owner shall (where practicable) be placed in separate divisions.

(b) Horses originally declared under the care of the same Trainer shall (where practicable) be placed in separate divisions.

(c) All other Horses will be divided alternately in alphabetical handicap rating order.

- (vii) When any ballot is required involving Horses on the same handicap rating / Assessment, the field will be reduced in the following order:
- (1) Any Horse which is in the same Ownership as a Horse/s which has been included in the field (this includes reserves), shall not be allotted a start to the exclusion of any Horse with no common Ownership in the Race.
  - (2) Preference to run will be given to Horses that have been subject to a ballot since their last run.
  - (3) Further, in any specified Race or Race for invited Horses, the ERA may determine the final field at its discretion.
  - (4) Notwithstanding all of the above, the ERA may use its discretion for safety or other reasons in determining the size of any field.
  - (5) To be drawn by lot in the presence of the ERA Stewards.

## **1A RESERVES**

Should Declarations for a Race be oversubscribed, and a ballot is to take place, there will be four (4) Horses placed on a reserve list. These Horses will only run if there is a withdrawal, which must be lodged by the Trainer by 9:00 AM on the day of the scheduled race-meeting. Trainers whose Horses have been placed on the reserve list will be notified if this situation arises.

When Declarations have closed, Horses which are listed as reserves will be removed from any reserve list if they have drawn into another Race as a Starter on that, or any succeeding day, provided that, Horses which are placed on a reserve list for a Group or Listed Race will be permitted to remain as a reserve and also be drawn into another Race as a Starter on that, or any such succeeding day.

When reserves draw in, the reserve will assume the barrier position of the Horse withdrawn. Should two Horses be withdrawn simultaneously the barrier position for the two reserves will be drawn by lot.

## **2 BANDAGES**

- (i) Cotton bandages when used in a Race or trial must be stitched to the full length on the outside of the leg with thread of a contrasting colour.
- (ii) Self-cohesive bandages such as Equisport, Co-Flex or Vetrap must have the outside join secured by stitching or tape of a contrasting colour.
- (iii) Elastoplast type bandages must be stitched at the outside join.
- (iv) Boots of an approved design are permitted on both the front and hind legs.
- (v) The Trainer of a Horse shall be responsible for the presentation of Horses under his care.

### **3 PULLING UP AFTER THE FINISH**

Riders in Races must ease up their Horses gradually after the finish and not pull up sharply.

### **4 RIDER'S AGENTS**

No Person shall act as a Rider's agent unless they have been Licenced in that capacity. All Licence applications are subject to the approval of the ERA. Rider's agents shall be subject to, and are bound by the Rules. The ERA reserves the right to refuse any application.

### **5 SADDLING**

The Stewards hold Trainers responsible for ensuring that their Horses are presented in the paddock on time and leave the paddock properly saddled.

### **6 SMOKING**

Smoking is not permitted inside the paddock, stable area or any other area designated as a no smoking area by the Stewards of the meeting.

### **7 RECOGNISED AUTHORITIES**

The ERA are a member of the International Federation of Horseracing Authorities (IFHA).

Every Rider riding in the UAE shall be licenced by the ERA and must produce a clearance certificate from their home Authority, stating they are free of any embargoes, prior to their first ride when previously riding in another country. Failure to provide a clearance certificate may render the Rider liable to a Penalty. Riders who fail to provide a clearance from their home Authority will be required to sign a declaration stating they understand and will adhere to the Rules and Instructions of the ERA Rules of Racing.

#### **RECOGNISED AUTHORITY**

Algeria  
Argentina  
Australia  
Austria  
Bahrain  
Belgium  
Brazil

Bulgaria  
Canada  
Chad  
Chile  
Croatia  
Cyprus  
Czech Republic  
Denmark  
France  
Germany  
Great Britain  
Greece  
Hong Kong  
Hungary  
India  
Ireland  
Italy  
Japan  
Korea  
Lebanon  
Macau  
Malaysia  
Mauritius  
Mexico  
Morocco  
Netherlands  
New Zealand  
Norway  
Oman (Sultanate of)  
Pakistan  
Panama  
Peru  
Poland  
Qatar (State of)  
Romania  
Russia  
Saudi Arabia (Kingdom of)  
Serbia  
Singapore  
Slovakia  
Slovenia  
South Africa  
Spain  
Sweden  
Switzerland  
Thailand  
Tunisia

Turkey  
United Arab Emirates  
United States America  
Uruguay  
Venezuela

Please Note: Recognised Turf Authorities are subject to change as approved by the IFHA.

## 8 VACCINATIONS

- (i) No Horse may take part in a Race run under the Rules, unless it is certified by a veterinary surgeon to be correctly vaccinated against equine influenza (in accordance with paragraph (ii), (iii) and (iv) below or according to the relevant manufacturer's schedule submitted to and approved by the ERA)
- (ii) The Passport should be endorsed thus by a veterinary surgeon who is neither the Owner or Trainer of the Horse:
  - (a) that the Horse has received (a primary Course of vaccination consisting of) two vaccinations given no less than 21 Clear Days apart and no more than 60 Clear Days apart.
  - (b) in addition, where sufficient time has elapsed subsequent to the primary vaccinations, the Passport must be similarly endorsed to show that the Horse has received a booster vaccination no less than 120 Clear Days and no more than 180 Clear Days after the second vaccination of the primary Course of vaccination.
  - (c) that the Horse has received booster vaccinations at intervals of not more than 6 months apart or such lesser time as the ERA may, in an emergency, decide.
- (iii) A Horse which has, in the opinion of a Veterinary Officer, received any vaccination (against equine influenza or other diseases) within seven (7) Clear Days prior to the Race, will not be permitted to start, unless specifically permitted to do so by the Stewards.
- (iv) Trainers are advised that all ponies brought to a Race Course or Official Training track must be vaccinated in accordance with the provisions of (ii) and (iii).

## 9 QUARANTINE

Any failure to observe a quarantine related Rule or Instruction issued by the ERA or any quarantine regulations or laws issued by the UAE Ministry of Environment and Water, or other relevant authority in the UAE, shall be a breach of these Rules and liable for a Penalty.

## **10 COMPOUND FEEDS**

The ERA draws attention to the necessity of Trainers to take all reasonable precautions to ensure that those manufactured compound feeds that they use for their Horses are free from Prohibited Substances. This is particularly important in the case of feedstuffs not specifically manufactured for Race Horses.

Trainers are also advised that they should keep Samples of all feedstuffs together with all details of any product and other coding on the sacks.

## **11 PREPARATIONS APPLIED TO THE SKIN OF THE HORSE**

The ERA wishes to warn Trainers that many substances can be absorbed through the skin of a Horse and may appear in body fluids. Trainers are therefore advised to exercise extreme care with shampoos and with any other preparation applied to the skin, both as to what is used and when it is used and should consult their veterinary surgeon if they have any doubt on this matter. Trainers are advised not to use shampoos, linaments or alcohol on Horses waiting to give Samples for forensic analysis.

The ERA wishes to warn Trainers that there have been instances during Testing of Samples, where hydroxycamphor, a metabolite of camphor, has been identified. Camphor is a Prohibited Substance and the ERA recommends that Trainers should exercise extreme caution if they are contemplating administering any substance which contains camphor to any Horse in their care. If Trainers are in doubt in this matter they should consult their veterinary surgeons.

## **12 SECURITY OF RACEHORSES**

The ERA draws the attention of all Trainers as to their responsibility to put in place and maintain appropriate security measures in their yard, in transit, and at the Race Course.

Trainers are reminded that they are, at all times, responsible for the Horses in their care and that the security precautions provided at Race Course stables in no way relieve them of their responsibility to present their Horse free of Prohibited Substances.

## **13 SAMPLING UNITS**

The ERA requires that when the Stewards at a meeting order the examination of a Horse under Rule 8(vii), such examination will be conducted in the sampling unit.

This applies to all Horses, including those which suffer from chronic obstructive pulmonary disease. The Stewards may give permission for Samples to be taken outside the sampling unit in the case of Horses suffering injuries that prohibit movement, or in other cases if so recommended by the Veterinary Officer.

## **14 REQUEST FOR A DRUG TEST**

The ERA advises that when an Owner or Trainer makes a request for a drug Test on the Race Course, this request can only be made through the Stewards and the Test will be regarded as an Official Test.

## **15 PRE-RACE ELECTIVE SAMPLE TESTING**

The ERA offers this service which allows Trainers to determine the suitability for racing of Horses which have been treated with legitimate therapeutic Medications. Information related to the format, timeframe and cost of the procedure is available from the ERA Veterinary Officer. All costs associated with the Testing are to be borne by the Trainer and the Sample given shall be and remain the property of the ERA.

Trainers should be aware that, advice from the ERA that a Sample, for which pre-Race elective Testing was requested, is clear of the substance(s), will not absolve Trainers from their responsibility to present their Horses to Race free of Prohibited Substance.

The ERA reserves the right to conduct other Tests on the Sample as it deems fit.

## **16 CLAIMING RACES**

- (i) In claiming Races any Horse is subject to claim for its entered price by any registered Owner in good standing.
- (ii) A claim may be made by an Authorised Agent, but an agent may claim only for the account of those for whom he is Licenced as agent, and the name of the Authorised Agent, as well as the name of the Owner for whom the claim is being made, shall appear on the claim slip
- (iii) No Person shall claim his own Horse, or cause his own Horse to be claimed, directly or indirectly, for his own account.
- (iv) No Horse claimed in a claiming Race shall be sold or transferred, wholly or in part, to anyone within fifteen days after the day it was claimed, except in another claiming Race. No Horse shall leave the UAE until after the close of the Season during which it was claimed.
- (v) Each claim shall be made in writing on a form and in an envelope supplied by the ERA. Both form and envelope must be filled out completely and must be accurate in every detail. It must be sufficient to establish the identity of the Horse claimed beyond reasonable doubt from any other in that particular Race. Every claim must be signed by the Person making it.

- (vi) The claim shall be sealed and placed in the claim box on the Clerk of the Scales table before the starting gates open for the start of that Race. Once submitted, a claim may not be withdrawn or altered.
- (vii) The Stewards, or their designated representative(s), shall open the claim envelopes for each Race as soon as the allotted time has expired.
- (viii) If more than one valid claim is filed for the same Horse, title to the Horse shall be determined by lot under supervision of the Stewards or their designated representative.
- (ix) Any Horse that has been claimed shall be delivered to the claimant who must present written authorisation for the claim from the Stewards or their designated representative. Horses, which are sent to the detention area for post Race Testing shall be delivered at that point; others are to be delivered in the saddling paddock. No Person shall refuse to deliver to the Person legally entitled thereto a Horse claimed out of a claiming Race and furthermore the Horse in question shall be disqualified from further racing until delivery is made.
- (x) Claims are irrevocable. Title to a claimed Horse shall be vested in the successful claimant from the time the starting gates open and said claimant shall then become the Owner of the Horse whether it be alive or dead, sound or unsound. A claimed Horse shall run in the interest of and for the account of the Owner for whom claimed.
- (xi) No Person shall offer, or enter into any agreement to claim or not claim or attempt to prevent another Person claiming any Horse in a claiming Race. No Person shall attempt by intimidation to prevent anyone from running a Horse in any claiming Race. No Owner or Trainer shall make an agreement with another Owner or Trainer for the protection of each others Horses in a claiming Race.
- (xii) Claims which are not made in accordance with the Rules, shall be void. The Stewards may at any time in their discretion require any Person filing a claim to make an affidavit in writing that he is claiming in accordance with the Rules. The Stewards shall be the Judges of the validity of the claim.
- (xiii) Notwithstanding any designation of sex or age appearing on the Race card or in any racing publication, the claimant of a Horse shall be solely responsible for determining the age or sex of the Horse claimed.

## 17 CONTAGIOUS DISEASES

Trainers are advised that they should not under any circumstances bring Horses that are affected with ringworm or any other contagious disease to the Race Course. If evidence of skin disease exists, Trainers should obtain a certificate from their veterinary surgeon, stating that the condition is not contagious.

Where a Trainer has been informed by a veterinary surgeon that any Horse, under his care or control, is likely to be or is infected with the following diseases:

- African Horse sickness\*
- Anthrax\*

- Contagious equine metritis\*
- Dourine\*
- Equine encephalomyelitis (Eastern)\*
- Equine encephalomyelitis (Western)\*
- Equine infectious anemia\*
- Equine viral arteritis\*
- Glanders\*
- Japanese encephalitis\*
- Old World Screwworm (*Chrysomya bezziana*)\*
- Rabies\*
- Venezuelan equine encephalomyelitis\*
- Vesicular stomatitis\*
- West Nile fever\*
- Equine Herpesvirus 1 (EHV1) - Paralytic Form
- Equine influenza
- Equine rhinopneumonitis
- Leptospirosis
- New World Screwworm (*Cochliomyia hominivorax*)
- Strangles
- Surra (*Trypanosoma evansi*)

or any other disease the ERA may determine and publish in the Racing Calendar, the Trainer must report this immediately to the ERA and shall not subsequently remove, or allow to be removed, any Horse under his care or control from the Premises where it is until otherwise authorised by the ERA.

Trainers are also advised that the diseases above marked with an asterix (\*) are notifiable diseases in the UAE and it is the Trainer's responsibility to report their presence or any suspicion to the UAE Ministry of Environment and Water.

The ERA may, from time to time, issue special Instructions to Trainers relating to disease control concerning racing, trials, Official Tests and Horse transportation.

## 18 PASSPORTS AND IDENTITY DOCUMENTS

Trainers are reminded that they must always bring passports or ERA approved identity documents to the Race Course with their runners. These documents must contain correctly endorsed and up to date vaccination records.

A Trainer who fails to produce a Horse's passport or ERA approved identity document shall be liable to a Penalty and the Stewards may order the withdrawal of the Horse from a Race engagement.

## **19 ADVERTISING ON RIDER EQUIPMENT**

Except with the prior written permission of the ERA, no advertising in any shape or form is allowed on Riders' colours or tack.

A logo may be used on a set of registered racing colours provided that the design and colour is approved in advance in writing by the ERA.

The size of the logo should not exceed 150mm x 150mm in dimension. The logo shall be positioned on the chest region only. A logo may also be used on Riders breeches and shall not exceed 150mm x 100mm in dimension.

The ERA reserves the right to rescind or refuse the approval of any logo at any time without assigning a reason.

Riders' breeches are to be embroidered with their name on both sides, in a standard colour and type to be determined by the ERA.

## **20 ERA JOCKEYS' ACCIDENT INSURANCE SCHEME**

The ERA Jockeys' Accident Insurance Scheme is a scheme that provides medical cover, wage compensation and death cover for Persons Licenced to ride in all Races conducted under the Rules in accordance with its terms.

The scheme will be funded from an account which will comprise a percentage of each Rider's Race riding fee (Rule 36) in the UAE.

Such insurance scheme and percentage of riding fee shall be determined by the ERA, which may vary from time to time in accordance with the terms and conditions of the policy.

The ERA shall determine all matters related to claims, benefits and payments to be made under the ERA Jockeys' Accident Insurance Scheme.

The ERA require all Riders to report immediately to the medical officer on the Course (if able to do so) in all cases where they fall or meet with an accident whilst riding under the Rules.

## **21 Cardiac Arrhythmias**

1. The ERA advises that Horses found to have suffered a cardiac arrhythmia or any other serious cardiac abnormality, will be subject to a racing embargo.
2. The embargo will be lifted following the successful completion of an Official gallop Test in the presence of an ERA Veterinary Officer and the lodgment of a veterinary report stating that the Horse displays a normal electrocardiogram (E.C.G.) after the initial event and any other such Tests or reports as determined by the ERA.
3. On the first occasion that a Horse suffers such a condition, the Official gallop Test may only be performed fourteen (14) Clear Days after the event.
4. On the second or any subsequent occasion, within a 12 month period that a Horse suffers from this condition, then it may be suspended by order of the Stewards for such period as they consider necessary to allow a complete diagnostic evaluation by a relevant veterinary specialist to take place.
5. The ERA advises that all Horses presented to an Official Test must be free of Prohibited Substances and Samples may be collected for analysis, as detailed in “ERA Policy – Official Tests”.

## **22 Ice Treatments**

It is not permissible to stand a Horse in buckets of ice or iced water, nor is it permissible to use a bag, ice boots or bandages filled with ice to any part of the lower leg once that

Horse has entered a Race Course for the purposes of racing or trialing. The use of such treatments is permitted after a Race or trial.

## **23 Service of notice**

Service of a notice or any document on a Person under these Rules may be effected-

- (a) Personally;
- (b) By registered, certified or similarly secure letter posted to the Person's last known or usual place of abode or business;
- (c) By leaving the notice or document with someone apparently of or above the age of 16 years at such places of abode or business; or
- (d) By such electronic or other means of communication or substituted service as the ERA may determine.

## 24 Weight-For-Age Index

### THOROUGHBRED WEIGHT FOR AGE SCALE

Please see the revised Thoroughbred Weight For Age Scale effective October 30, 2011.

#### Northern Hemisphere Bred

Expressed as the number of KILOS that it is deemed the average Horse in each age group falls short of maturity at different dates and distances (Born January 1)

| Distance    |            | Age | November |       | December |       | Age | January |       | February |       | March |       | April |       |
|-------------|------------|-----|----------|-------|----------|-------|-----|---------|-------|----------|-------|-------|-------|-------|-------|
| Mtr         | Fur        |     | 1-15     | 16-30 | 1-15     | 16-31 |     | 1-15    | 16-31 | 1-14     | 15-29 | 1-15  | 16-31 | 1-15  | 16-30 |
| 1000        | 5f         | 2   | 7.5      | 7     | 6.5      | 6     | 3   | 5.5     | 5     | 4.5      | 4     | 3.5   | 3     | 2.5   | 2.5   |
| 1100        | 5.5f       | 2   | 8        | 7.5   | 7        | 6.5   | 3   | 6       | 5.5   | 5        | 4.5   | 4     | 3.5   | 3     | 2.5   |
| 1200        | 6f         | 2   | 8.5      | 8     | 7.5      | 7     | 3   | 6.5     | 6     | 5.5      | 5     | 4.5   | 4     | 3.5   | 3     |
| 1300        | 6.5f       | 2   | 9        | 8.5   | 8        | 7.5   | 3   | 7       | 6.5   | 6        | 5.5   | 5     | 4.5   | 4     | 3.5   |
|             |            | 3   | 0.5      |       |          |       |     |         |       |          |       |       |       |       |       |
| 1400        | 7f         | 2   | 9.5      | 9     | 8.5      | 8     | 3   | 7.5     | 7     | 6.5      | 6     | 5.5   | 5     | 4.5   | 4     |
|             |            | 3   | 0.5      | 0.5   |          |       |     |         |       |          |       |       |       |       |       |
| 1500        | 7.5f       | 2   | 10       | 9.5   | 9        | 8.5   | 3   | 8       | 7.5   | 7        | 6.5   | 6     | 5.5   | 5     | 4.5   |
|             |            | 3   | 1        | 0.5   | 0.5      |       |     |         |       |          |       |       |       |       |       |
| 1600        | 8f         | 2   | 10.5     | 10    | 9.5      | 9     | 3   | 8.5     | 8     | 7.5      | 7     | 6.5   | 6     | 5.5   | 5     |
|             |            | 3   | 1        | 1     | 0.5      | 0.5   |     |         |       |          |       |       |       |       |       |
| 1700        | 8.5f       |     |          |       |          |       | 3   | 9       | 8.5   | 8        | 7.5   | 7     | 6.5   | 6     | 5.5   |
|             |            | 3   | 1.5      | 1     | 1        | 0.5   | 4   | 0.5     |       |          |       |       |       |       |       |
| 1800        | 9f         |     |          |       |          |       | 3   | 9.5     | 9     | 8.5      | 8     | 7.5   | 7     | 6.5   | 6     |
|             |            | 3   | 1.5      | 1.5   | 1        | 1     | 4   | 0.5     | 0.5   |          |       |       |       |       |       |
| 1900 & 2000 | 9.5f & 10f |     |          |       |          |       | 3   | 10      | 9.5   | 9        | 8.5   | 8     | 7.5   | 7     | 6.5   |
|             |            | 3   | 2        | 1.5   | 1.5      | 1     | 4   | 1       | 0.5   | 0.5      | 0.5   |       |       |       |       |
| 2200        | 11f        |     |          |       |          |       | 3   | 10.5    | 10    | 9.5      | 9     | 8.5   | 8     | 7.5   | 7     |
|             |            | 3   | 2.5      | 2     | 2        | 1.5   | 4   | 1.5     | 1     | 1        | 1     | 0.5   | 0.5   | 0.5   |       |
| 2400        | 12f        |     |          |       |          |       | 3   | 11      | 10.5  | 10       | 9.5   | 9     | 8.5   | 8     | 7.5   |
|             |            | 3   | 2.5      | 2.5   | 2        | 2     | 4   | 1.5     | 1.5   | 1        | 1     | 1     | 0.5   | 0.5   | 0.5   |
| 2600        | 13f        |     |          |       |          |       | 3   | 11.5    | 11    | 10.5     | 10    | 9.5   | 9     | 8.5   | 8     |
|             |            | 3   | 3        | 3     | 2.5      | 2     | 4   | 2       | 2     | 1.5      | 1.5   | 1.5   | 1     | 1     | 1     |
| 2800        | 14f        |     |          |       |          |       | 3   | 12      | 11.5  | 11       | 10.5  | 10    | 9.5   | 9     | 8.5   |
|             |            | 3   | 3.5      | 3.5   | 3        | 2.5   | 4   | 2.5     | 2.5   | 2        | 2     | 2     | 1.5   | 1.5   | 1.5   |
| 3000        | 15f        |     |          |       |          |       | 3   | 12.5    | 12    | 11.5     | 11    | 10.5  | 10    | 9.5   | 9     |
|             |            | 3   | 4        | 4     | 3.5      | 3     | 4   | 3       | 3     | 2.5      | 2.5   | 2.5   | 2     | 2     | 2     |
| 3200        | 16f        |     |          |       |          |       | 3   | 13      | 12.5  | 12       | 11.5  | 11    | 10.5  | 10    | 9.5   |
|             |            | 3   | 4.5      | 4.5   | 4        | 3.5   | 4   | 3.5     | 3.5   | 3        | 3     | 3     | 2.5   | 2.5   | 2.5   |

## Southern Hemisphere Bred

Expressed as the number of KILOS that it is deemed the average Horse in each age group falls short of maturity at different dates and distances (Born JULY 1)

| Distance    |            | Age | November |       | December |       | January |       | February |       | March |       | April |       |
|-------------|------------|-----|----------|-------|----------|-------|---------|-------|----------|-------|-------|-------|-------|-------|
| Mtr         | Fur        |     | 1-15     | 16-30 | 1-15     | 16-31 | 1-15    | 16-31 | 1-14     | 15-29 | 1-15  | 16-31 | 1-15  | 16-30 |
| 1000        | 5f         | 2   |          |       |          |       |         |       | 9        | 8.5   | 8     | 7.5   | 7     | 6.5   |
|             |            | 3   | 3        | 2.5   | 2.5      | 2     | 1.5     | 1.5   | 1        | 1     | 0.5   | 0.5   |       |       |
| 1100        | 5.5f       | 2   |          |       |          |       |         |       | 9.5      | 9     | 8.5   | 8     | 7.5   | 7     |
|             |            | 3   | 3.5      | 3     | 2.5      | 2.5   | 2       | 1.5   | 1.5      | 1     | 1     | 0.5   | 0.5   |       |
| 1200        | 6f         | 2   |          |       |          |       |         |       | 10       | 9.5   | 9     | 8.5   | 8     | 7.5   |
|             |            | 3   | 4        | 3.5   | 3        | 2.5   | 2.5     | 2     | 1.5      | 1.5   | 1     | 1     | 0.5   | 0.5   |
| 1300        | 6.5f       | 2   |          |       |          |       |         |       | 10.5     | 10    | 9.5   | 9     | 8.5   | 8     |
|             |            | 3   | 4.5      | 4     | 3.5      | 3     | 2.5     | 2.5   | 2        | 1.5   | 1.5   | 1     | 1     | 0.5   |
| 1400        | 7f         | 2   |          |       |          |       |         |       | 11       | 10.5  | 10    | 9.5   | 9     | 8.5   |
|             |            | 3   | 5        | 4.5   | 4        | 3.5   | 3       | 2.5   | 2.5      | 2     | 1.5   | 1.5   | 1     | 1     |
| 1500        | 7.5f       | 2   |          |       |          |       |         |       | 11.5     | 11    | 10.5  | 10    | 9.5   | 9     |
|             |            | 3   | 5.5      | 5     | 4.5      | 4     | 3.5     | 3     | 2.5      | 2.5   | 2     | 1.5   | 1.5   | 1     |
| 1600        | 8f         | 2   |          |       |          |       |         |       | 12       | 11.5  | 11    | 10.5  | 10    | 9.5   |
|             |            | 3   | 6        | 5.5   | 5        | 4.5   | 4       | 3.5   | 3        | 2.5   | 2.5   | 2     | 1.5   | 1.5   |
| 1700        | 8.5f       | 3   | 6.5      | 6     | 5.5      | 5     | 4.5     | 4     | 3.5      | 3     | 2.5   | 2.5   | 2     | 1.5   |
| 1800        | 9f         | 3   | 7        | 6.5   | 6        | 5.5   | 5       | 4.5   | 4        | 3.5   | 3     | 2.5   | 2.5   | 2     |
| 1900 & 2000 | 9.5f & 10f | 3   | 7.5      | 7     | 6.5      | 6     | 5.5     | 5     | 4.5      | 4     | 3.5   | 3     | 2.5   | 2.5   |
| 2200        | 11f        | 3   | 8        | 7.5   | 7        | 6.5   | 6       | 5.5   | 5        | 4.5   | 4     | 3.5   | 3     | 2.5   |
| 2400        | 12f        | 3   | 8.5      | 8     | 7.5      | 7     | 6.5     | 6     | 5.5      | 5     | 4.5   | 4     | 3.5   | 3     |
|             |            | 4   | 0.5      | 0.5   | 0.5      |       |         |       |          |       |       |       |       |       |
| 2600        | 13f        | 3   | 9        | 8.5   | 8        | 7.5   | 7       | 6.5   | 6        | 5.5   | 5     | 4.5   | 4     | 3.5   |
|             |            | 4   | 0.5      | 0.5   | 0.5      |       |         |       |          |       |       |       |       |       |
| 2800        | 14f        | 3   | 9.5      | 9     | 8.5      | 8     | 7.5     | 7     | 6.5      | 6     | 5.5   | 5     | 4.5   | 4     |
|             |            | 4   | 1        | 1     | 1        | 0.5   | 0.5     | 0.5   |          |       |       |       |       |       |
| 3000        | 15f        | 3   | 10       | 9.5   | 9        | 8.5   | 8       | 7.5   | 7        | 6.5   | 6     | 5.5   | 5     | 4.5   |
|             |            | 4   | 1.5      | 1.5   | 1.5      | 1     | 1       | 1     | 0.5      | 0.5   | 0.5   |       |       |       |
| 3200        | 16f        | 3   | 10.5     | 10    | 9.5      | 9     | 8.5     | 8     | 7.5      | 7     | 6.5   | 6     | 5.5   | 5     |
|             |            | 4   | 2        | 2     | 2        | 1.5   | 1.5     | 1.5   | 1        | 1     | 1     | 0.5   | 0.5   | 0.5   |

## 25 Bisphosphonates

- (a) Bisphosphonates (except those radiolabelled bisphosphonates used for diagnostic nuclear imaging in Horses) is not to be administered to a Horse;
  - (i) Under the age of 3 years and 6 months as determined by its recorded date of birth; and
  - (ii) Within 30 Clear Days of a Horse being declared to race.
- (b) Any Person found in breach of Instruction 25, shall be liable to a Penalty.